

Conciliatory Sets and Unambiguous Tree Automata

Jacques Duparc



Mini-workshop on Wadge theory and automata,
Torino, 28 Jan. 2015

Joint work with

- Kevin Fournier

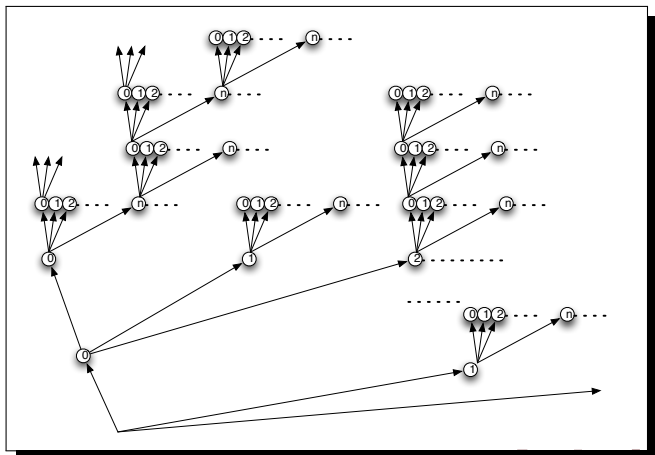
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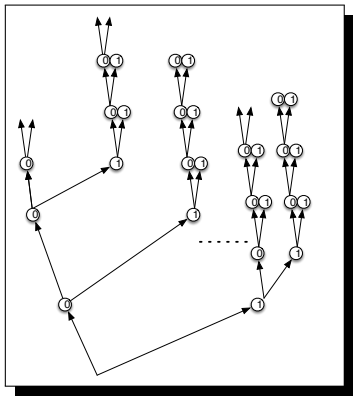
Background

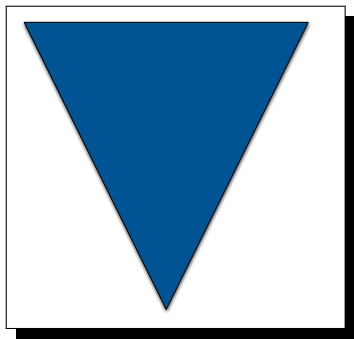
- \mathcal{N} = Baire Space = set of infinite sequences of integers = $\mathbb{N}^{\mathbb{N}} = \mathbb{N}^{\omega}$
 $\{f : f \text{ mapping} : \mathbb{N} \mapsto \mathbb{N}\}$



Background

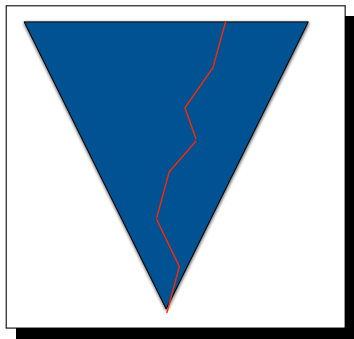
- Cantor Space = $\{0, 1\}^{\mathbb{N}} = 2^{\omega}$





For simplicity:

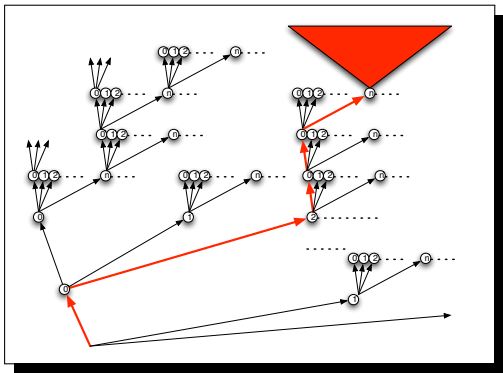
$$\mathcal{N}$$

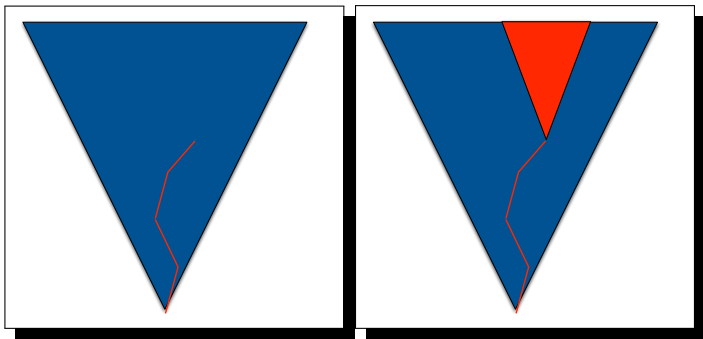


A point = an infinite sequence inside \mathcal{N}

Background

- Product topology of the discrete topology on \mathbb{N} .
- Basic open set: $u\mathcal{N}$ where u is a finite sequence of integers





A finite sequence yields a basic open set of \mathcal{N}

- \mathcal{N} is separable (has a countable dense subset) since it is second countable (has a countable basis)

$$\{u\mathcal{N} : u \in \mathbb{N}^{<\omega}\}$$

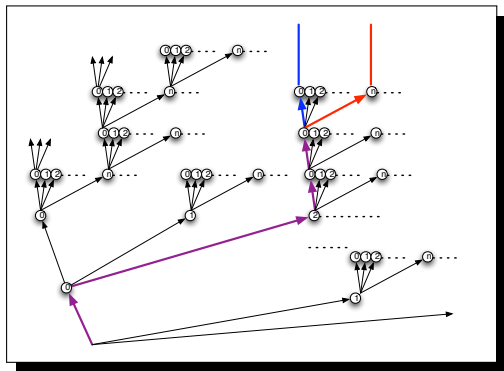
- \mathcal{N} is metrizable = there exists a metric compatible with the topology

$$\text{dist}(x, y) = 2^{-n} \text{ where } n \text{ is first s.t. } x(n) \neq y(n)$$

Background

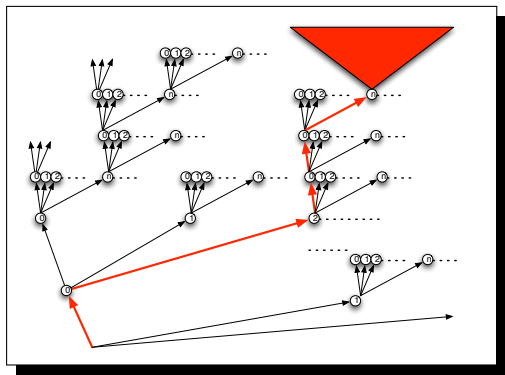


$dist(x, y) = 2^{-n}$ where n is first s.t. $x(n) \neq y(n)$



$$dist(x, y) = 2^{-4}$$

Background



A finite sequence yields a basic open set of \mathcal{N}

open ball $B(0200n\dots, 2^{-5})$

- Open set: $W\mathcal{N}$ where W is a set of finite sequence of integers.
- Closed set $(W\mathcal{N})^{\complement}$

$$T = \{v \in \mathbb{N}^{<\omega} : \forall u \text{ prefix of } v, u \notin W\},$$

T is a tree, and $x \in (W\mathcal{N})^{\complement} \iff x$ is an infinite branch of T .

- X is closed $\iff X$ is the set of infinite branches of a tree.

- \mathcal{N} is homeomorphic to $(\mathbb{R} \setminus \mathbb{Q})$ with the induced topology.
- Usual proof uses continued fractions.
- Here we present a direct proof (Miller)

- \mathcal{N} is homeomorphic to $(\mathbb{R} \setminus \mathbb{Q})$ with the induced topology.
- First replace \mathbb{N} by \mathbb{Z} .
- There is an obvious homeomorphism between \mathbb{Z}^ω and \mathbb{N}^ω :

$$\phi(f) = g$$

- $f(n) = g(2n)$ if $n \in \mathbb{N}$
- $f(-n) = g(2n - 1)$ if $-n \in \mathbb{Z} \setminus \mathbb{N}$

Background

- Construct a mapping from \mathbb{Z}^ω to $(\mathbb{R} \setminus \mathbb{Q})$.

- Enumerate the rationals

$$\mathbb{Q} = \{q_n : n \in \mathbb{N}\}$$

- Inductively define a sequence of open intervals

$$\langle I_s : s \in \mathbb{Z}^{<\omega} \rangle$$

- 1 $I_{\langle \rangle} = \mathbb{R}$, and for $s \neq \langle \rangle$, each I_s is a nontrivial open interval in \mathbb{R} with rational endpoints,
- 2 for every $s \in \mathbb{Z}^{<\omega}$, for every $a \in \mathbb{Z}$, $I_{s \frown a} \subset I_s$,
- 3 the right end point of $I_{s \frown a}$ is the left end point of $I_{s \frown a+1}$,
- 4 $\{I_{s \frown a} : a \in \mathbb{Z}\}$ covers all of I_s except for their endpoints,
- 5 the length of I_s is less than $\frac{1}{|s|}$,
- 6 the n^{th} rational q_n is an endpoint of I_t for some $|t| < n + 1$,

Background

- Construct a mapping $\phi : \mathbb{Z}^\omega \mapsto (\mathbb{R} \setminus \mathbb{Q})$ as follows.
- Given any $x \in \mathbb{Z}^\omega$, the set

$$\bigcap_{n \in \mathbb{N}} I_{x \upharpoonright n}$$

is a singleton that contains an irrational,

- It is non empty because

$$\text{closure}(I_{x \upharpoonright n+1}) \subseteq I_{x \upharpoonright n},$$

- It is a singleton because

$$\lim_{n \rightarrow \infty} \text{diam}(I_{x \upharpoonright n}) = 0,$$

- ϕ is defined by

$$\{\phi(x)\} = \bigcap_{n \in \mathbb{N}} I_{x \upharpoonright n}$$

- The function $\phi : \mathbb{Z}^\omega \mapsto (\mathbb{R} \setminus \mathbb{Q})$ is
 - one-to-one because if s and t are incomparable then I_s and I_t are disjoint.
 - It is onto since for every $y \in (\mathbb{R} \setminus \mathbb{Q})$ and every $n \in \mathbb{N}$ there is a unique s of length n with $y \in I_s$.
- It is a homeomorphism because

-

$$\phi[s\mathcal{N}] = I_s \cap (\mathbb{R} \setminus \mathbb{Q})$$

- and the sets of the form $I_s \cap (\mathbb{R} \setminus \mathbb{Q})$ form a basis for $(\mathbb{R} \setminus \mathbb{Q})$.

The Borel Hierarchy

The Borel hierarchy

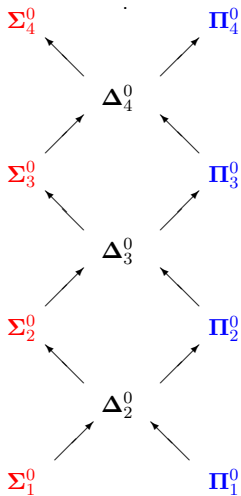
Definition

- 1 $\Sigma_1^0 = \{\text{open sets}\}$
- 2 $\Pi_\alpha^0 = \{A^c : A \in \Sigma_\alpha^0\}$
- 3 $\Delta_\alpha^0 = \Sigma_\alpha^0 \cap \Pi_\alpha^0$
- 4 $\Sigma_\alpha^0 = \{A = \bigcup_{n \in \mathbb{N}} A_n : A_n \in \bigcup_{\beta < \alpha} \Pi_\beta^0\}$

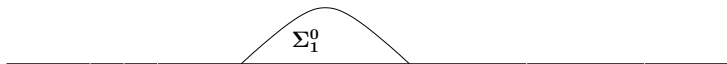
$$\mathcal{B} = \bigcup_{\alpha \in On} \Sigma_\alpha^0 = \bigcup_{\alpha \in On} \Pi_\alpha^0 = \bigcup_{\alpha < \omega_1} \Sigma_\alpha^0 = \bigcup_{\alpha < \omega_1} \Pi_\alpha^0$$

In particular, Π_1^0 is the class of all closed sets, Σ_2^0 the class of all countable union of closed sets, etc...

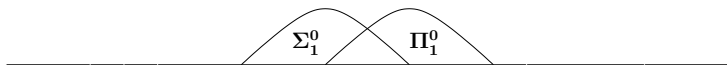
The Borel hierarchy



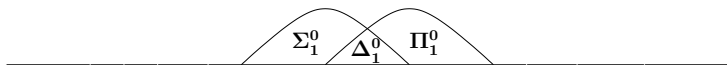
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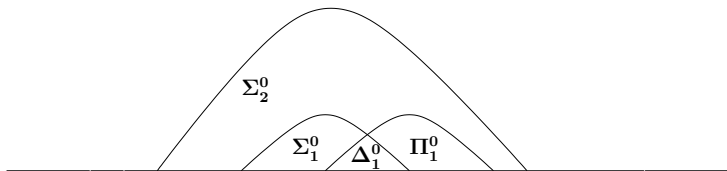
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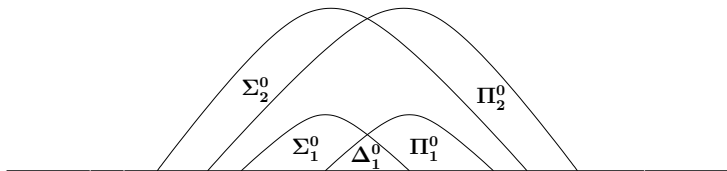
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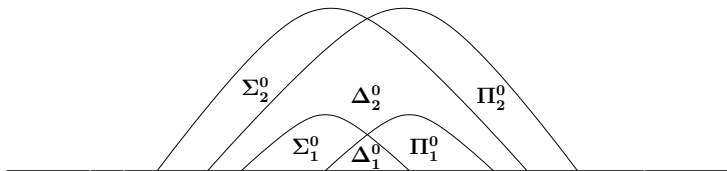
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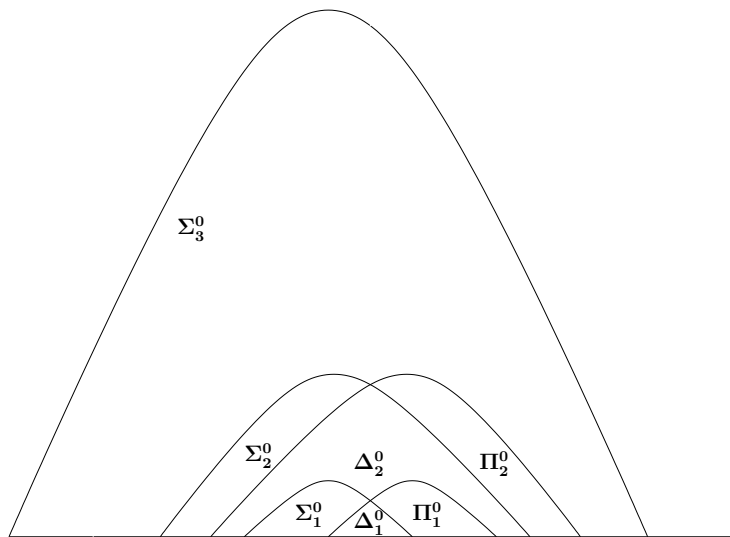
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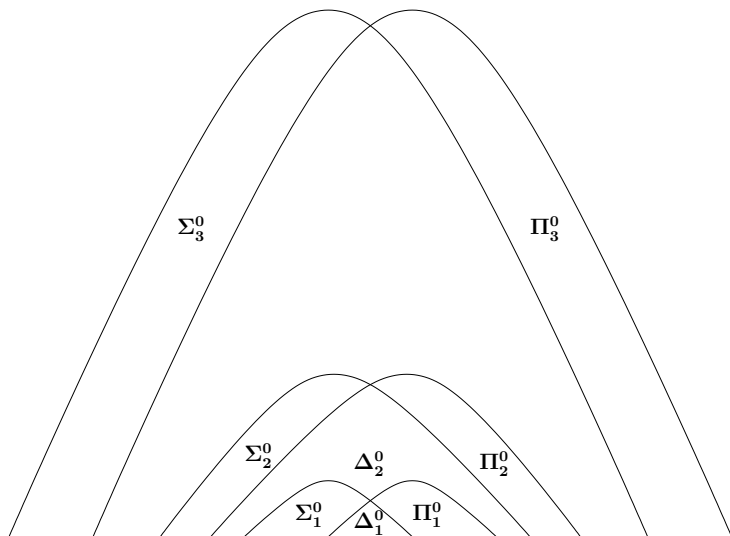
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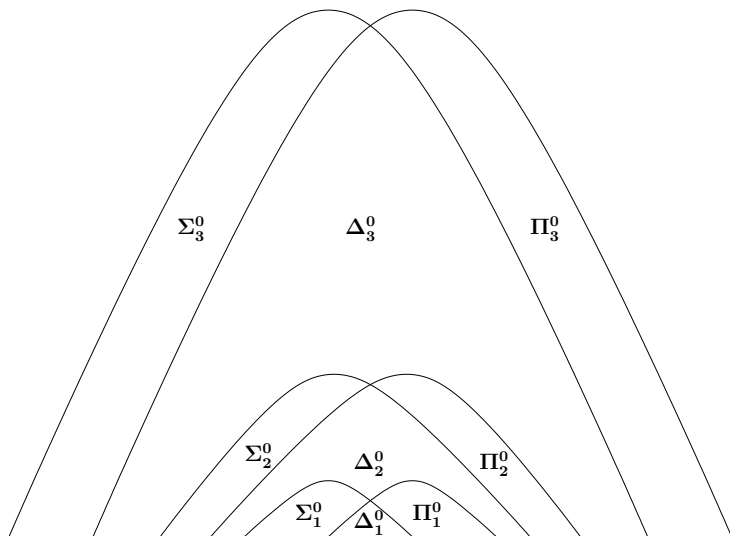
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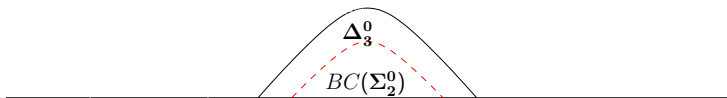
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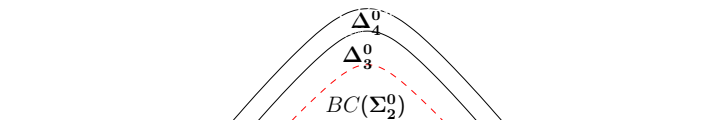
The Borel hierarchy



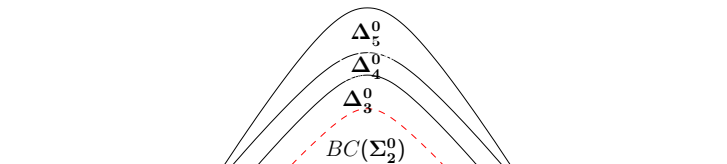
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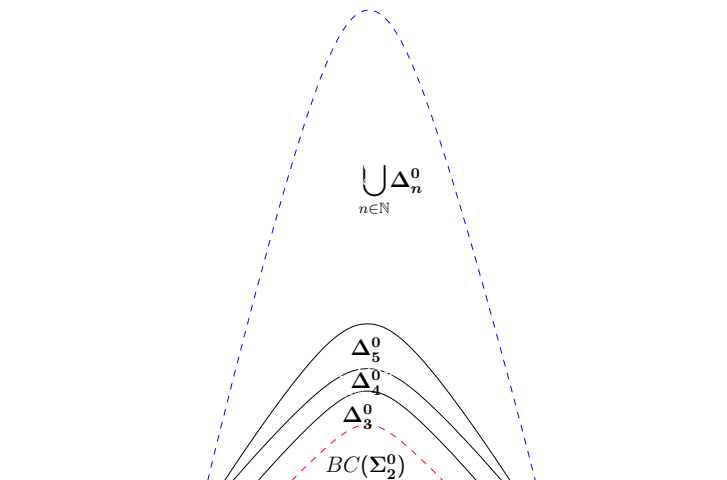
The Borel hierarchy



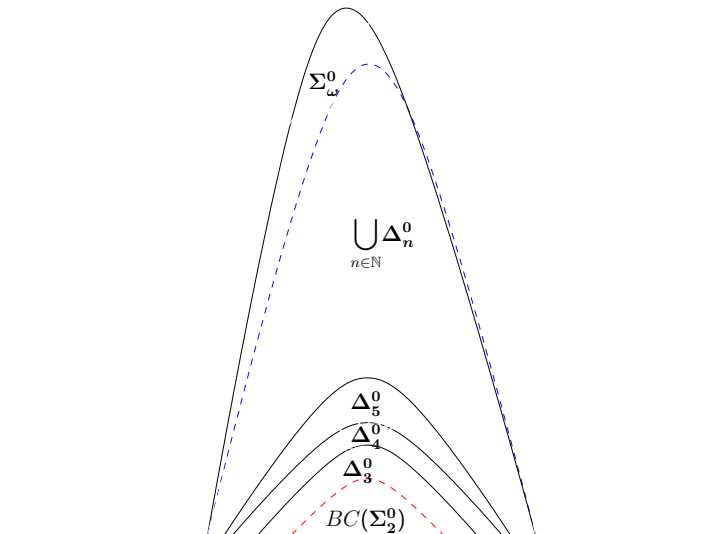
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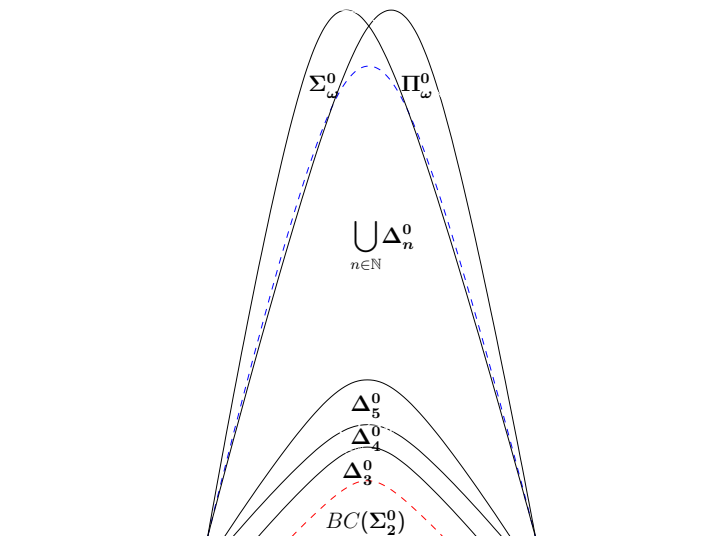
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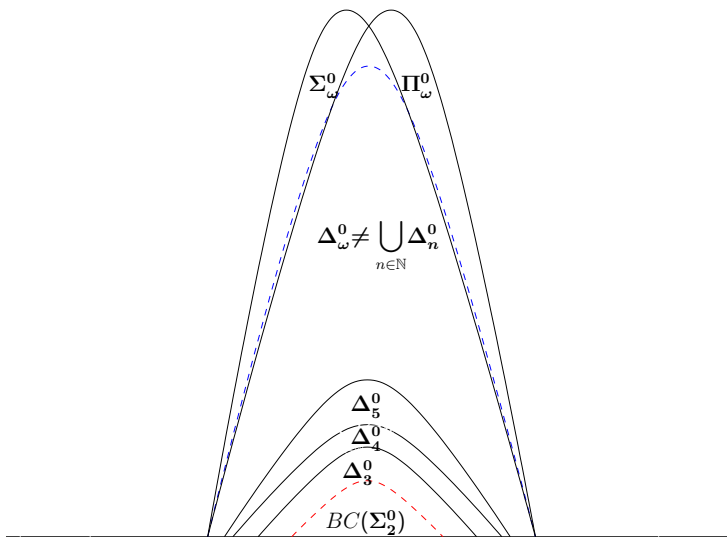
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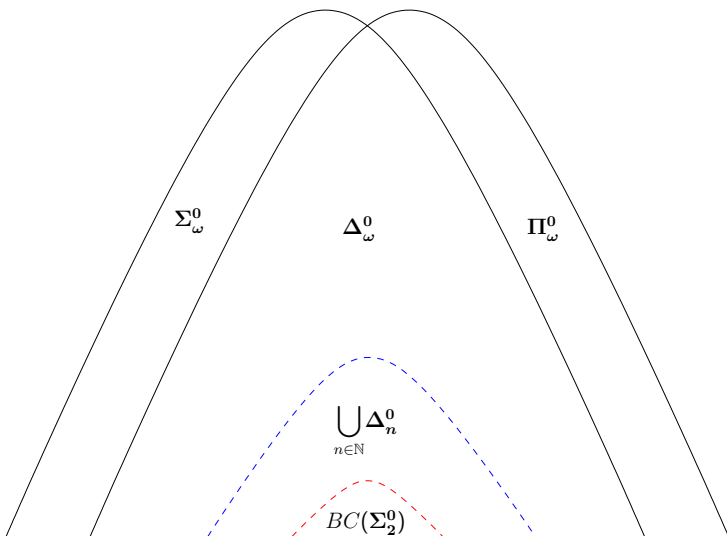
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The Borel hierarchy



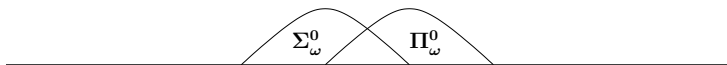
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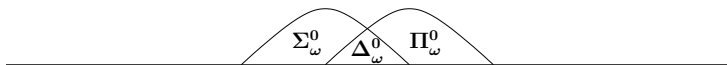
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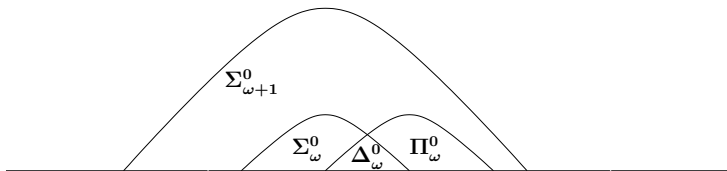
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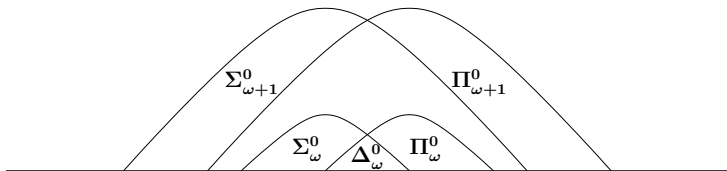
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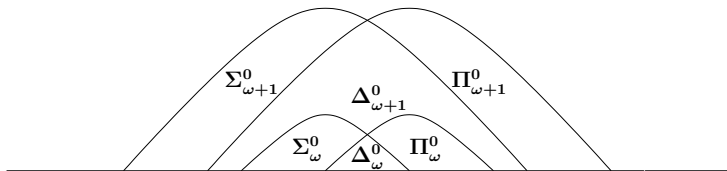
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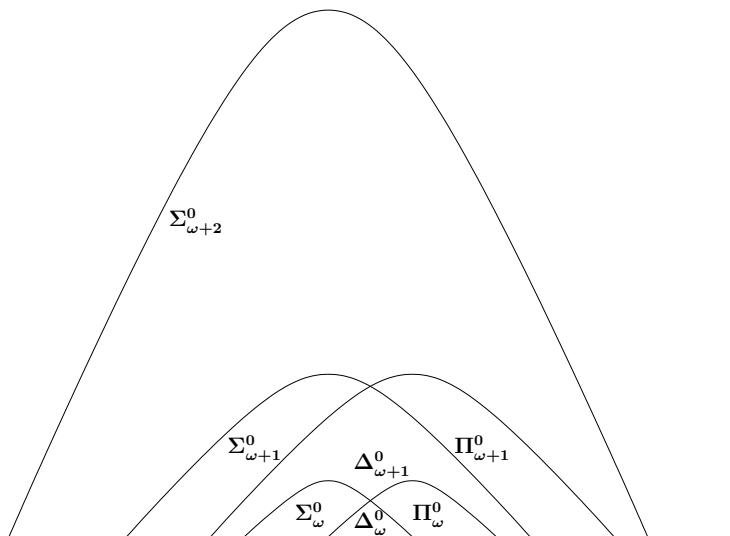
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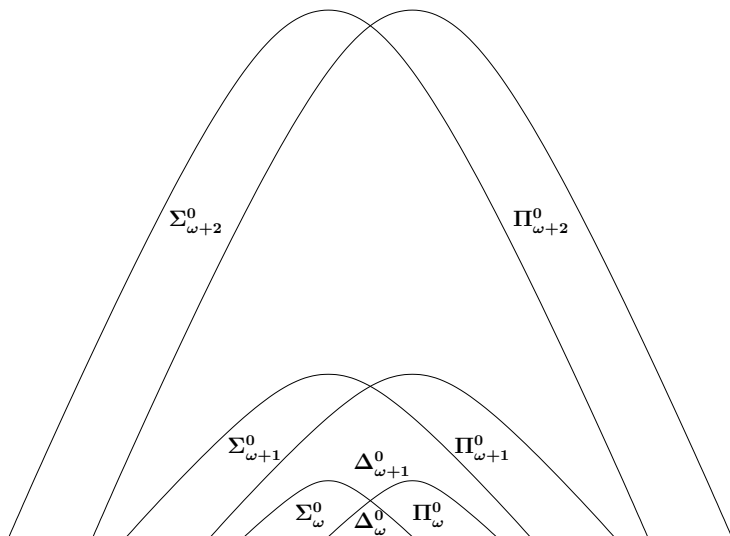
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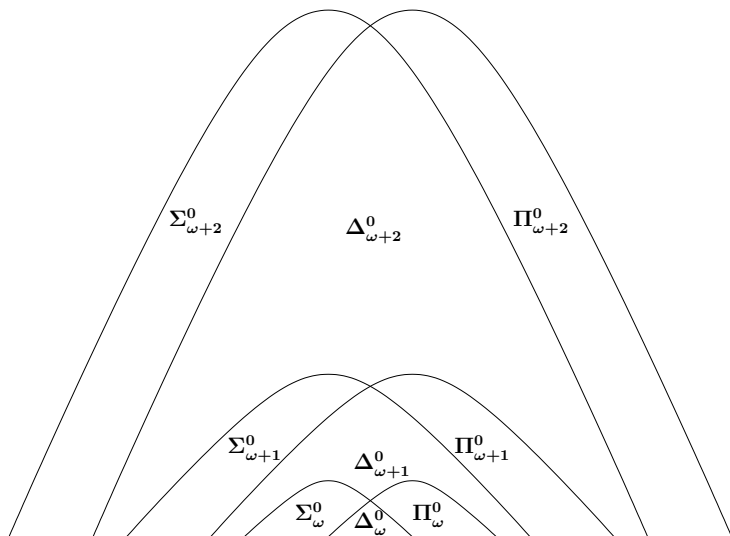
The Borel hierarchy



The Borel hierarchy



The Borel hierarchy



The Projective Hierarchy

Definition

$A \subseteq S$ is analytic $\iff x \in A \iff \exists y (x, y) \in B$, $B \in S \times \mathbb{N}^\omega$, B closed
(equivalently Borel)

- 1 $\Sigma_1^1 = \{ \text{Analytic sets} \}$
- 2 $\Pi_n^1 = \{ S \setminus A : A \in \Sigma_n^1 \}$
- 3 $\Delta_n^1 = \Sigma_n^1 \cap \Pi_n^1$
- 4 $\Sigma_{n+1}^1 = \{ A \subseteq S : x \in A \iff \exists y (x, y) \in B \in \Pi_n^1(S \times \mathbb{N}^\omega) \}$

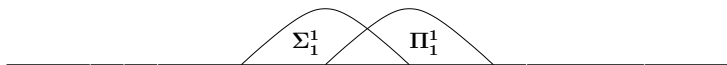
Theorem (Suslin)

$$\text{Borel} = \Delta_1^1$$

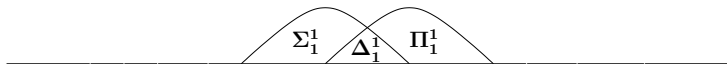
The Projective hierarchy



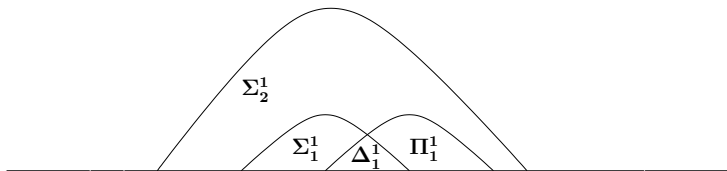
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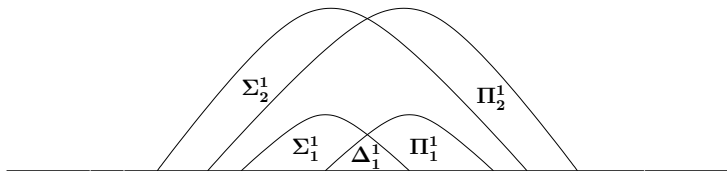
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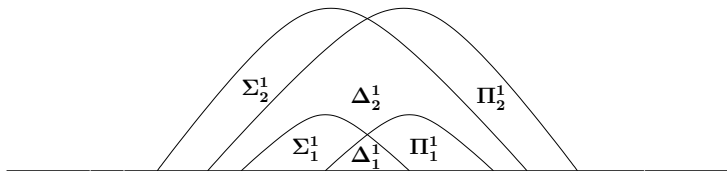
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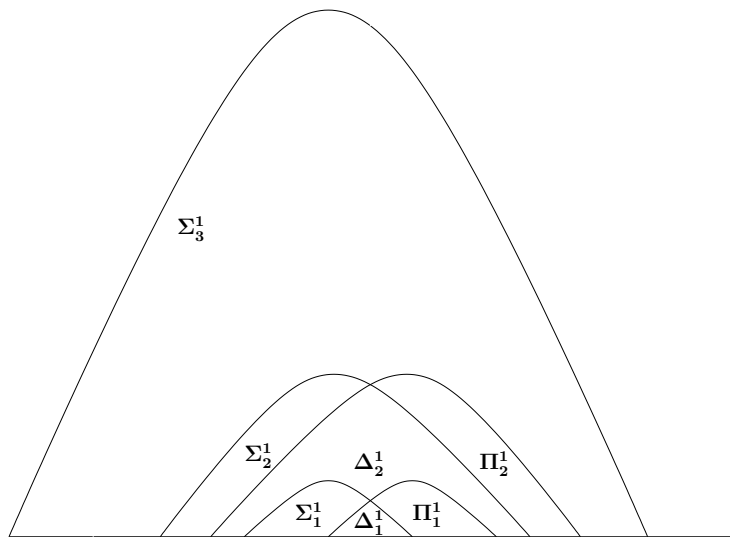
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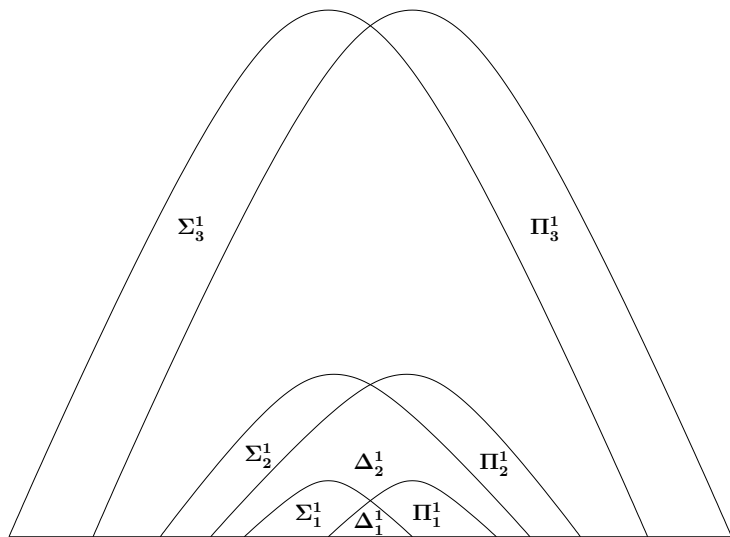
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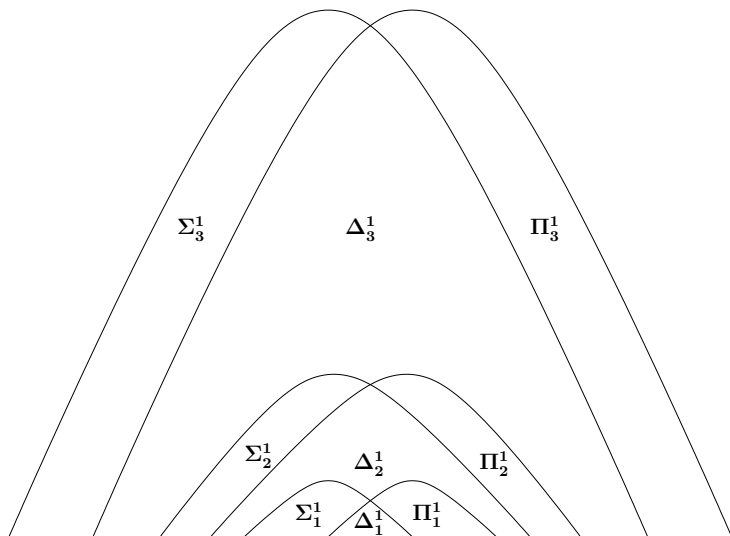
The Projective hierarchy



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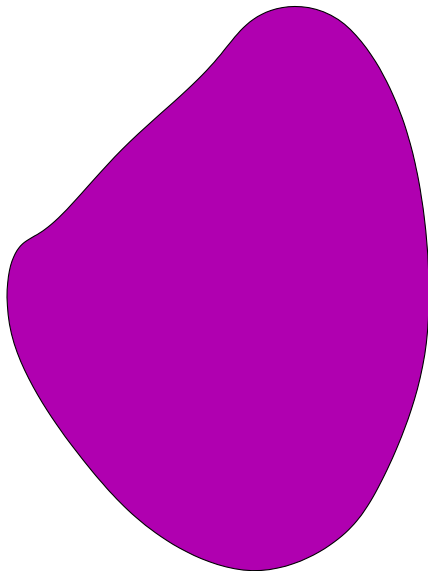


The Projective hierarchy



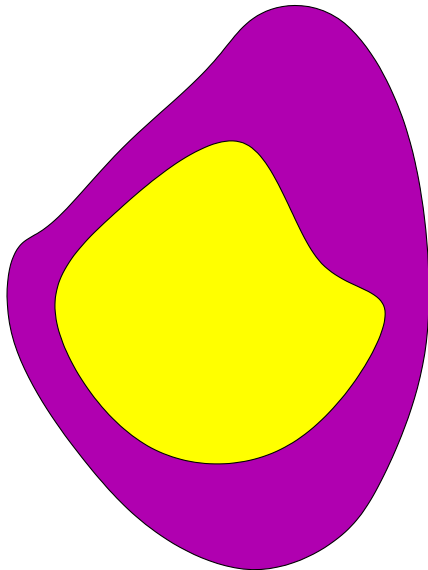
Kuratowski Hierarchy

$\text{Diff}_1(\Sigma_3^0)$



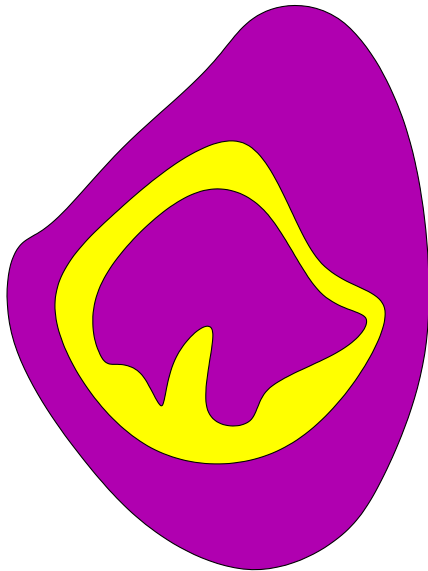
Kuratowski Hierarchy

$\text{Diff}_2(\Sigma_3^0)$



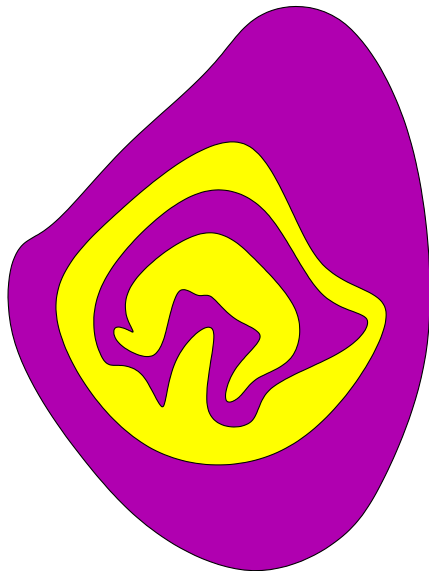
Kuratowski Hierarchy

$\text{Diff}_3(\Sigma_3^0)$



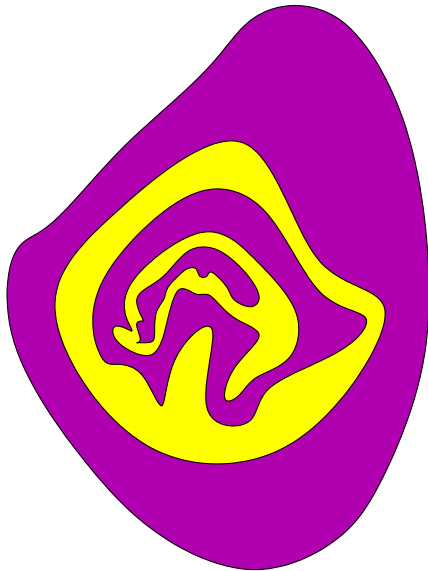
Kuratowski Hierarchy

$\text{Diff}_4(\Sigma_3^0)$



Kuratowski Hierarchy

$\text{Diff}_5(\Sigma_3^0)$



Kuratowski Hierarchy

Theorem (Hausdorff-Kuratowski)

$$\bigcup_{\xi < \omega_1} \text{Diff}_\xi(\Sigma_\alpha^0) = \Delta_{\alpha+1}^0$$

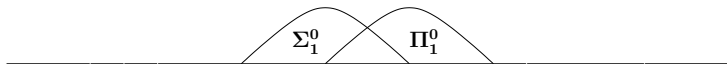
How many levels on Borel sets:

- Borel hierarchy: ω_1
- Hausdorff-Kuratowski hierarchy: $\omega_1 \cdot \omega_1 = \omega_1^2$
- Wadge hierarchy: first fixed point of the first ω_1 -many Veblen functions
 - 1 $V_0(\alpha) = \omega_1^\alpha$
 - 2 V_ξ enumerates the ordinals that are fixed points of all $(V_\zeta)_{\zeta < \xi}$

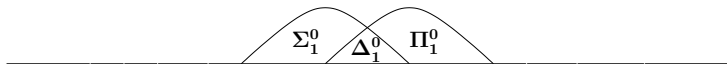
Wadge Hierarchy



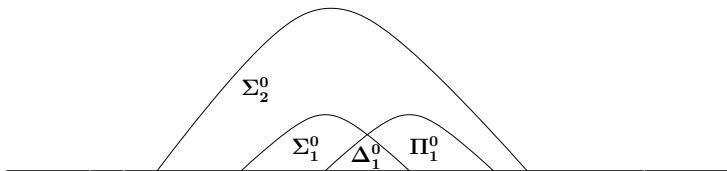
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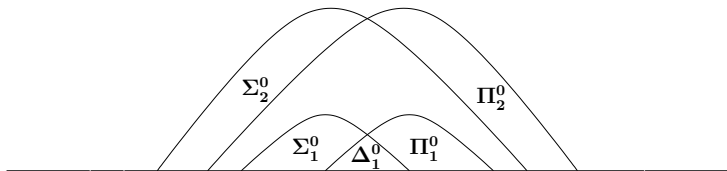
Wedge Hierarchy



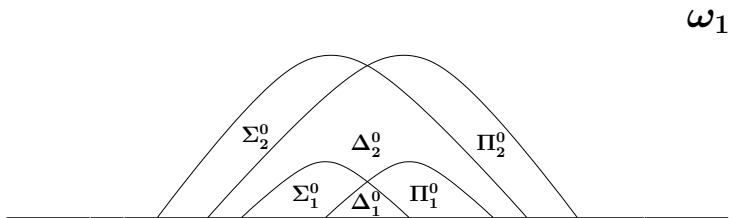
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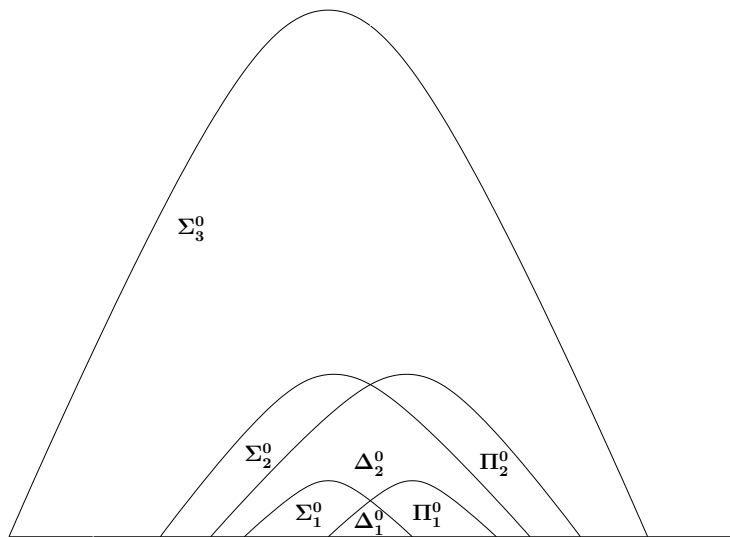
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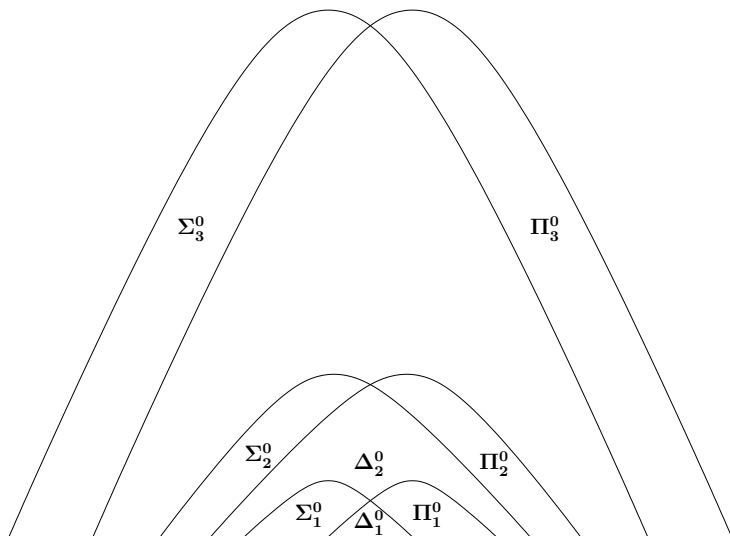
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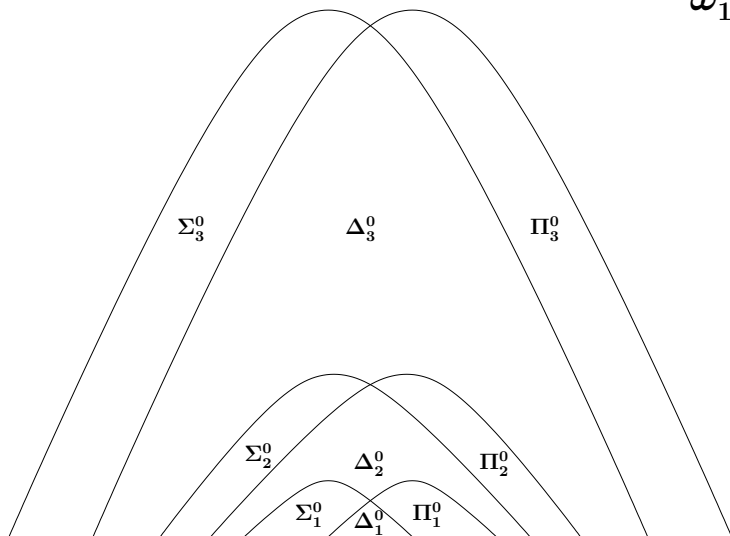
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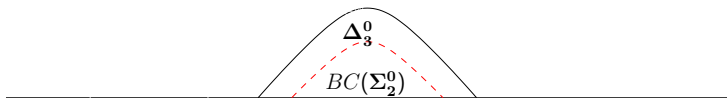
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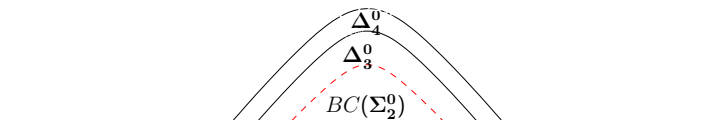
Wadge Hierarchy

 $\omega_1^{\omega_1}$ 

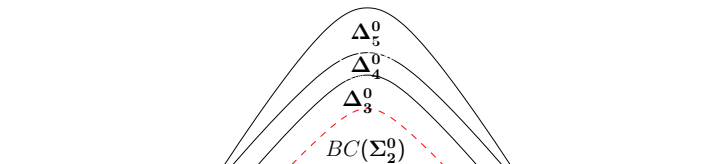
Wedge Hierarchy



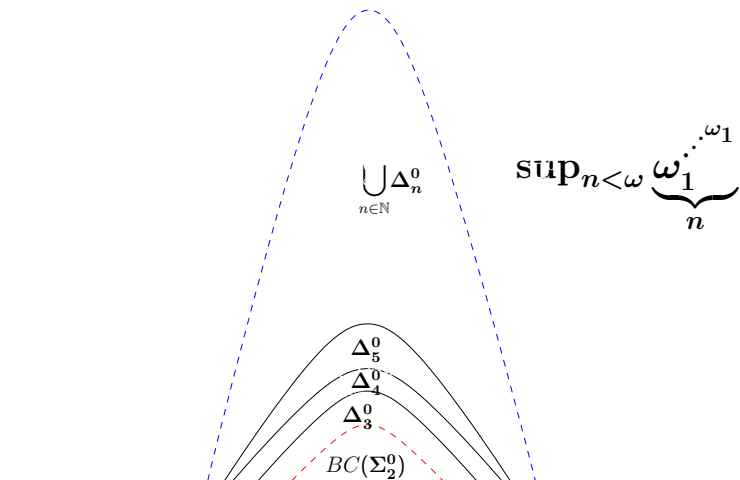
Wadge Hierarchy



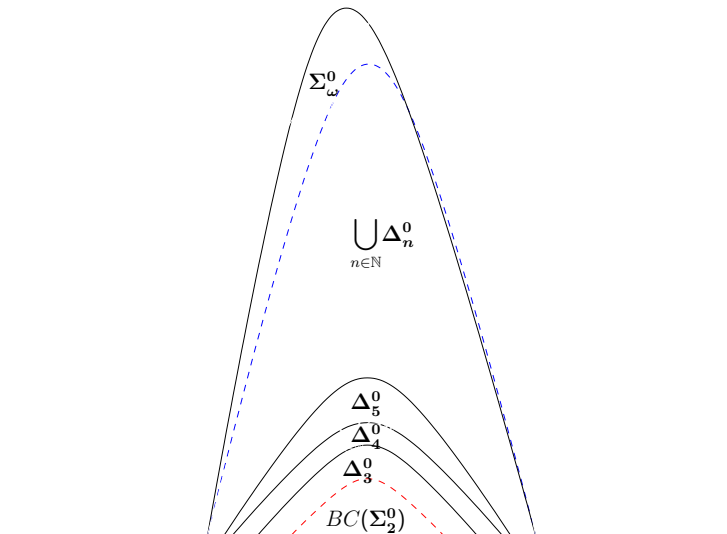
Wadge Hierarchy



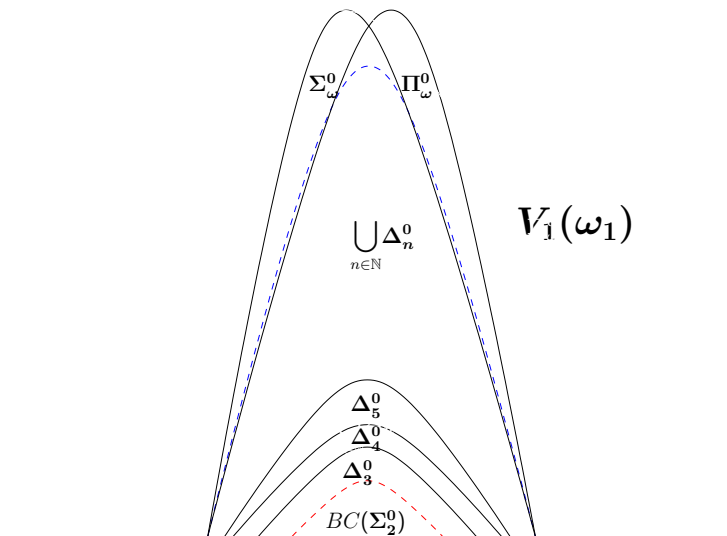
Wadge Hierarchy



Wadge Hierarchy



Wadge Hierarchy



Functions as Strategies

- **Wadge hierarchy**: reductions by continuous functions.
- Design **rules** for $\mathbb{G}^{\{R_1, R_2, \dots\}}(f)$ s.t.

Π has a w.s. $\iff f$ is continuous

- f is **continuous** $\iff f^{-1}\Sigma_1^0 = \Sigma_1^0$
 $\iff f^{-1}\Pi_1^0 = \Pi_1^0$
 $\iff f^{-1}\Delta_1^0 = \Delta_1^0$
- Δ_1^0 means *"I know for sure after k steps"*

Functions as Strategies

$$I \quad G_{(f)}^{\{\}} \quad II$$

II wins iff $f(x) = y$

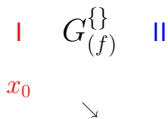
Functions as Strategies

$$I \quad G_{(f)}^{\{\}} \quad II$$

x_0

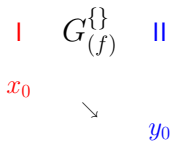
II wins iff $f(x) = y$

Functions as Strategies



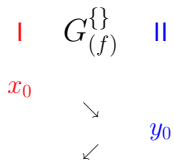
II wins iff $f(x) = y$

Functions as Strategies



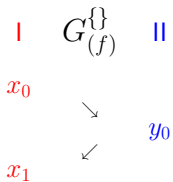
II wins iff $f(x) = y$

Functions as Strategies



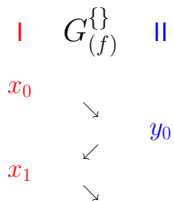
II wins iff $f(x) = y$

Functions as Strategies



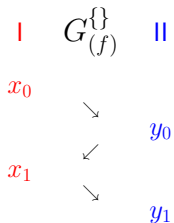
II wins iff $f(x) = y$

Functions as Strategies



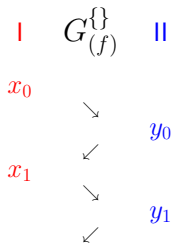
II wins iff $f(x) = y$

Functions as Strategies



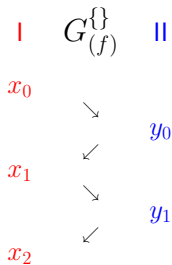
II wins iff $f(x) = y$

Functions as Strategies



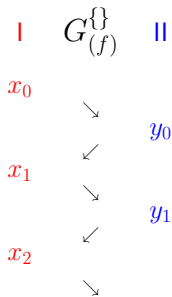
II wins iff $f(x) = y$

Functions as Strategies



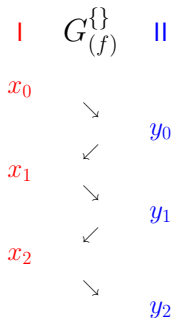
II wins iff $f(x) = y$

Functions as Strategies



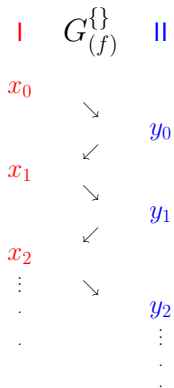
II wins iff $f(x) = y$

Functions as Strategies



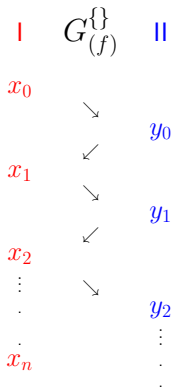
II wins iff $f(x) = y$

Functions as Strategies



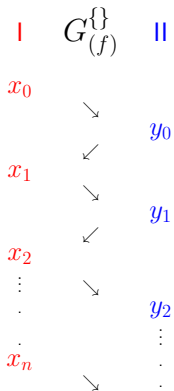
II wins iff $f(x) = y$

Functions as Strategies



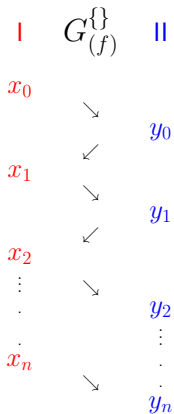
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Functions as Strategies



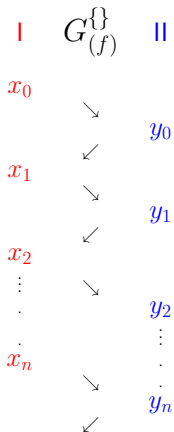
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Functions as Strategies



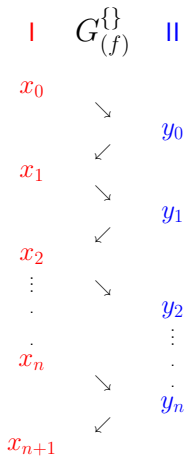
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Functions as Strategies



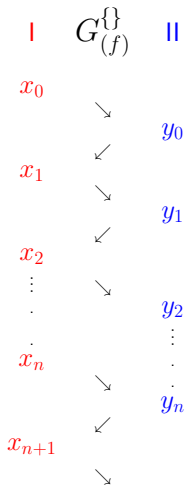
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Functions as Strategies



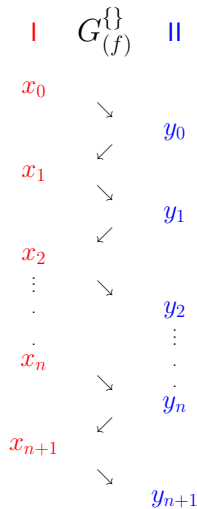
II wins iff $f(x) = y$

Functions as Strategies



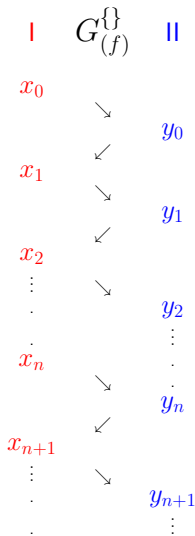
II wins iff $f(x) = y$

Functions as Strategies



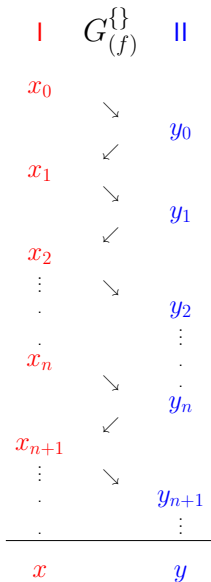
II wins iff $f(x) = y$

Functions as Strategies



II wins iff $f(x) = y$

Functions as Strategies



II wins iff $f(x) = y$

- Δ_1^0 means "I know for sure after k steps"
- $A \in \Delta_1^0$, k steps decide $x \in A$
- $B = f^{-1}A \in \Delta_1^0$, n steps decide $y \in B$
- n might be much larger than k
- requires to wait long enough

$$I \quad G_{(f)}^{\{s\}} \quad II$$

II wins iff $f(x) = y$

William W. Wadge (1960's)

Functions as Strategies

$$I \ G_{(f)}^{\{s\}} \ II$$

II wins iff $f(x) = y$

Functions as Strategies

$$I \quad G_{(f)}^{\{s\}} \quad II$$

x_0

II wins iff $f(x) = y$

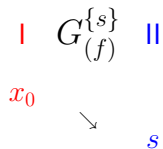
Functions as Strategies

$$I \quad G_{(f)}^{\{s\}} \quad II$$

x_0 ↘

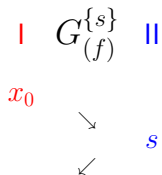
II wins iff $f(x) = y$

Functions as Strategies



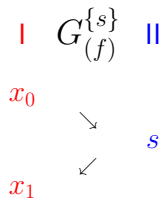
II wins iff $f(x) = y$

Functions as Strategies



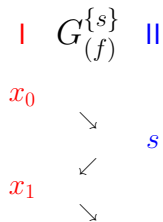
II wins iff $f(x) = y$

Functions as Strategies



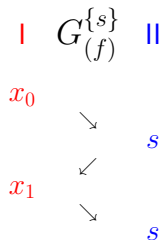
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Functions as Strategies



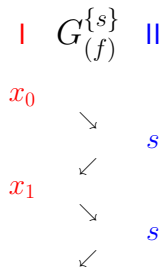
II wins iff $f(x) = y$

Functions as Strategies



II wins iff $f(x) = y$

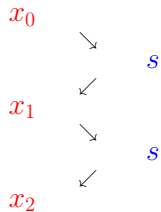
Functions as Strategies



II wins iff $f(x) = y$

Functions as Strategies

I $G_{(f)}^{\{s\}}$ II



II wins iff $f(x) = y$

Functions as Strategies

I $G_{(f)}^{\{s\}}$ II

x_0 \searrow s

x_1 \swarrow
 \searrow s

x_2 \swarrow
 \searrow

II wins iff $f(x) = y$

Functions as Strategies

I $G_{(f)}^{\{s\}}$ II

x_0



s

x_1



s

x_2

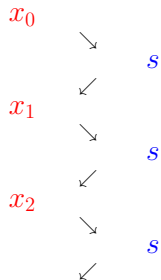


s

II wins iff $f(x) = y$

Functions as Strategies

I $G_{(f)}^{\{s\}}$ II



II wins iff $f(x) = y$

Functions as Strategies

I $G_{(f)}^{\{s\}}$ II

x_0 \searrow s

x_1 \swarrow

\searrow s

x_2 \swarrow

\searrow s

x_3 \swarrow

II wins iff $f(x) = y$

Functions as Strategies

I $G_{(f)}^{\{s\}}$ II

x_0 \searrow s

x_1 \swarrow
 \searrow s

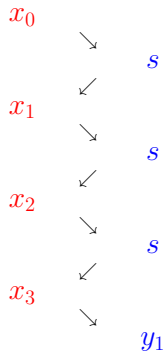
x_2 \swarrow
 \searrow s

x_3 \swarrow
 \searrow

II wins iff $f(x) = y$

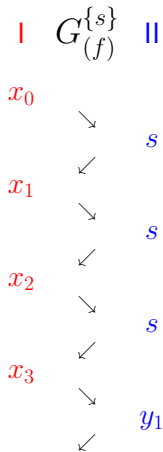
Functions as Strategies

I $G_{(f)}^{\{s\}}$ II



II wins iff $f(x) = y$

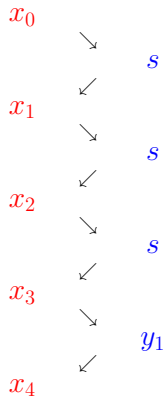
Functions as Strategies



II wins iff $f(x) = y$

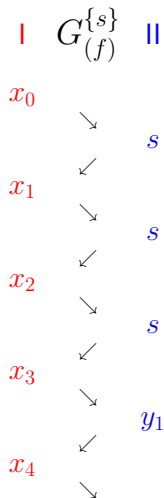
Functions as Strategies

I $G_{(f)}^{\{s\}}$ II



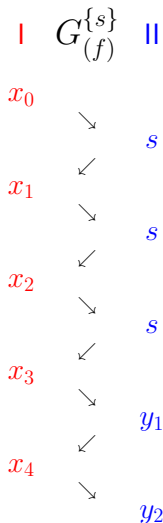
II wins iff $f(x) = y$

Functions as Strategies



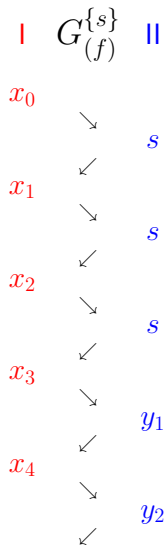
II wins iff $f(x) = y$

Functions as Strategies



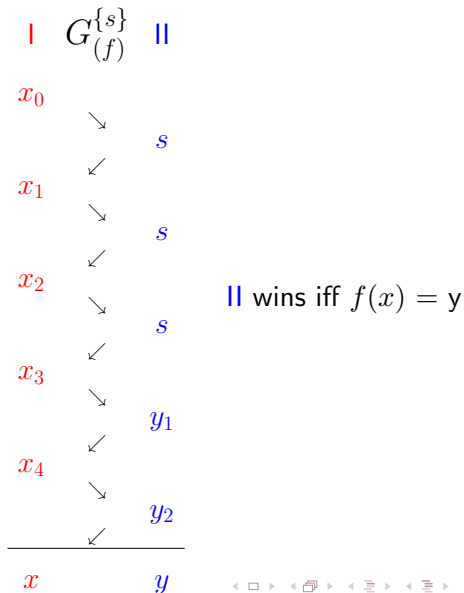
II wins iff $f(x) = y$

Functions as Strategies



II wins iff $f(x) = y$

Functions as Strategies



Functions as Strategies

- Π has a w.s. in $\mathbb{G}^{\{s\}}(f) \iff f$ is continuous.
- (\implies) Let $u\mathcal{N}$ be any basic open set.

$$f^{-1}u\mathcal{N} = \bigcup_{v \in P_u^I} v\mathcal{N}$$

where P_u^I is the set of all positions v s.t. I is in position v when Π is in position u .

- (\impliedby) At first move, Π considers the partition $\{f^{-1}\langle n \rangle \cdot \mathcal{N} : n \in \mathbb{N}\}$ of the Baire space into Δ_1^0 sets. And waits until I has reached a long enough position v that belongs to some $f^{-1}\langle n \rangle \cdot \mathcal{N}$. Then Π plays this value n_0 , and considers $\{f^{-1}\langle n_0, n \rangle \cdot \mathcal{N} : n \in \mathbb{N}\} \dots$



Π has a w.s. in $\mathbb{G}^{\{s\}}(f) \iff f$ is continuous



Π has a w.s. in $\mathbb{G}^{\{\}}(f) \iff f$ is ...

$$\forall \varepsilon \exists \alpha \ |x - x_0| < \alpha \implies |f(x) - f(x_0)| < \varepsilon$$

$$\forall \varepsilon \ |x - x_0| < \varepsilon \implies |f(x) - f(x_0)| < \varepsilon$$

- f is 1-Lipschitz

$$\forall \varepsilon |x - x_0| < \varepsilon \implies |f(x) - f(x_0)| < \varepsilon$$

- f is k -Lipschitz

$$\forall \varepsilon |x - x_0| < \varepsilon/k \implies |f(x) - f(x_0)| < \varepsilon$$

Definition

Π has a w.s. in $\mathbb{G}^{\{s_1, s_2, \dots, s_n\}}(f) \iff f$ is n -Lipschitz

Theorem

f is continuous $\iff f$ is n -Lipschitz for some $n \in \mathbb{N}$.

Functions as Strategies

Definition

Π has a w.s. in $\mathbb{G}^{\{s_1, s_2, \dots, s_n\}}(f) \iff f$ is n -Lipschitz

Theorem

~~f is continuous $\iff f$ is n -Lipschitz for some $n \in \mathbb{N}$.~~

Counting with Ordinals

Counting below 4

- ① 0, 1, 2, 3
- ② 3, 2, 1, 0

Counting below $\omega + 2$

- ① 0, 1, 2, 3,
- ② $\omega + 1, \omega, n, n - 1, n - 2, \dots, 3, 2, 1, 0$

Definition

$\mathbb{G}^{\{s_\xi: \xi < \alpha\}}(f)$ as $\mathbb{G}^{\{s\}}(f)$ except that II must produce a sequence of **strictly decreasing** s_ξ while skipping

Functions as Strategies

$$I \quad G_{(f)}^{\{s_\xi : \xi < \alpha\}} \quad II$$

II wins iff $f(x) = y$

Functions as Strategies

$$I \ G_{(f)}^{\{s_\xi : \xi < \alpha\}} \ II$$

x_0

II wins iff $f(x) = y$

Functions as Strategies

$$I \ G_{(f)}^{\{s_\xi : \xi < \alpha\}} \ II$$

x_0 ↘

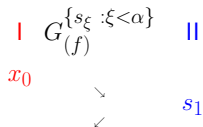
II wins iff $f(x) = y$

Functions as Strategies

$$\begin{array}{ccc} \text{I} & G_{(f)}^{\{s_\xi : \xi < \alpha\}} & \text{II} \\ x_0 & \searrow & s_1 \end{array}$$

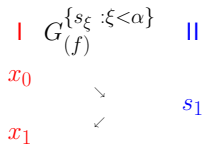
II wins iff $f(x) = y$

Functions as Strategies



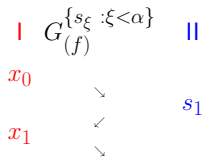
II wins iff $f(x) = y$

Functions as Strategies



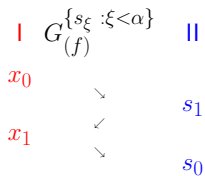
II wins iff $f(x) = y$

Functions as Strategies



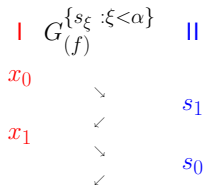
II wins iff $f(x) = y$

Functions as Strategies



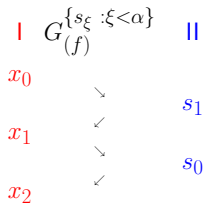
II wins iff $f(x) = y$

Functions as Strategies



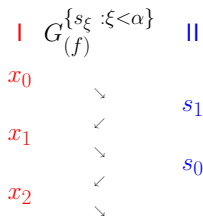
II wins iff $f(x) = y$

Functions as Strategies



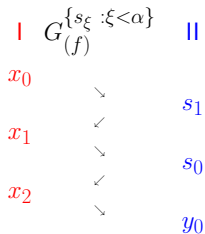
II wins iff $f(x) = y$

Functions as Strategies



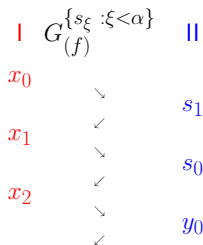
II wins iff $f(x) = y$

Functions as Strategies



II wins iff $f(x) = y$

Functions as Strategies



II wins iff $f(x) = y$

Functions as Strategies

I $G_{(f)}^{\{s_\xi : \xi < \alpha\}}$ II

x_0



s_1

x_1



s_0

x_2



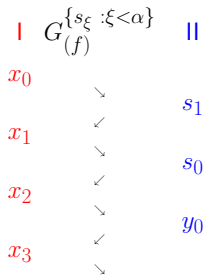
y_0

x_3



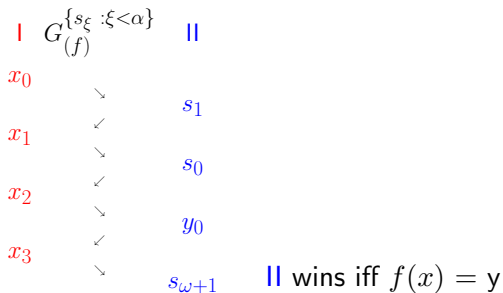
II wins iff $f(x) = y$

Functions as Strategies

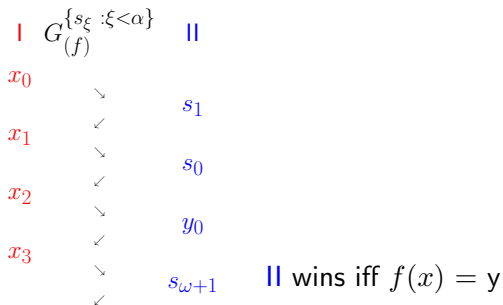


II wins iff $f(x) = y$

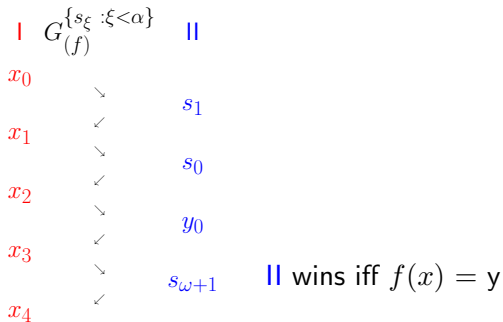
Functions as Strategies



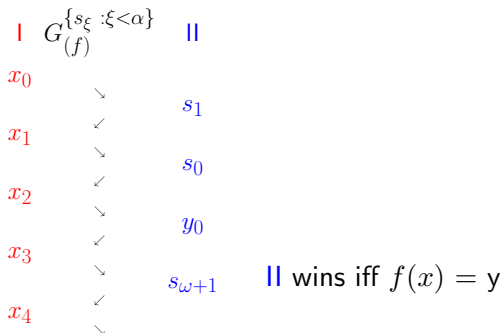
Functions as Strategies



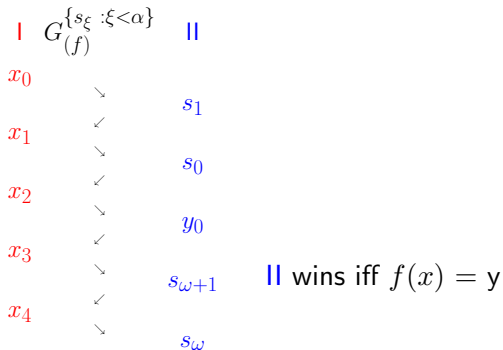
Functions as Strategies



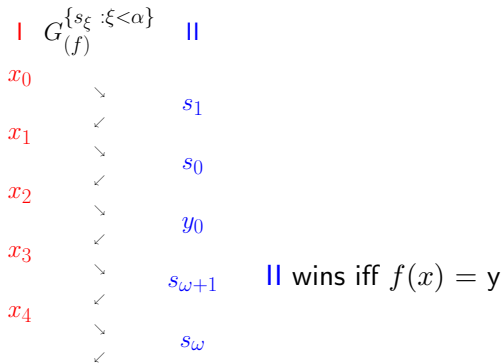
Functions as Strategies



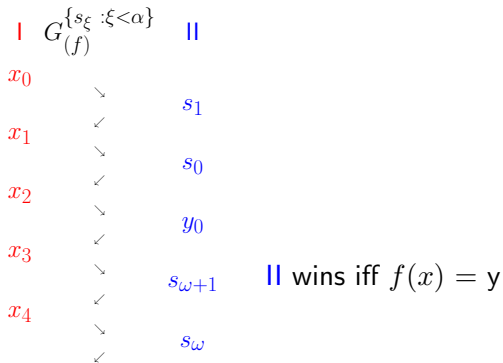
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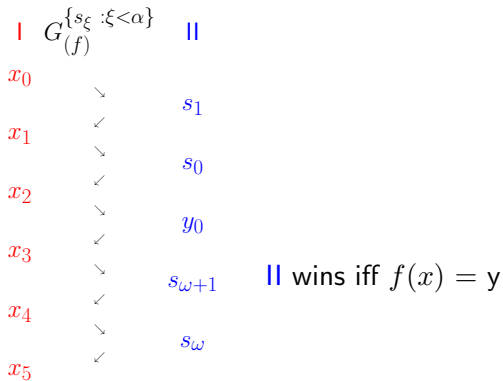
Functions as Strategies



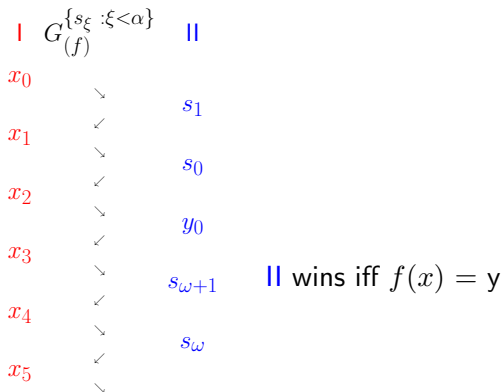
Functions as Strategies



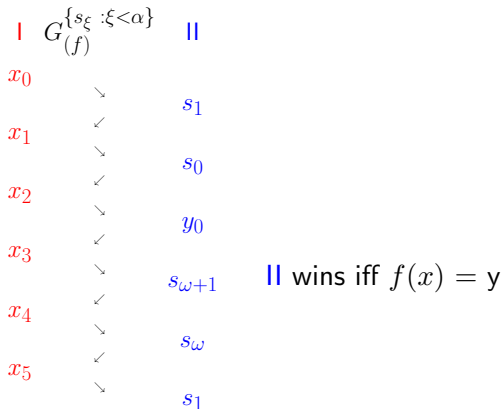
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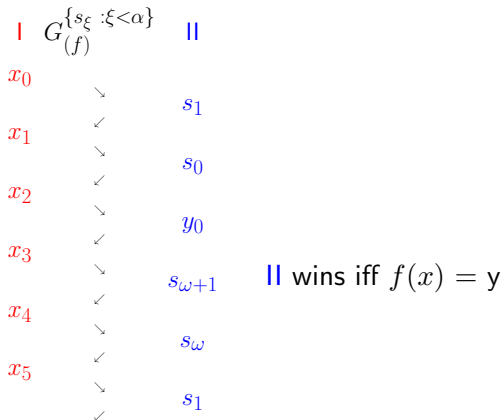
Functions as Strategies



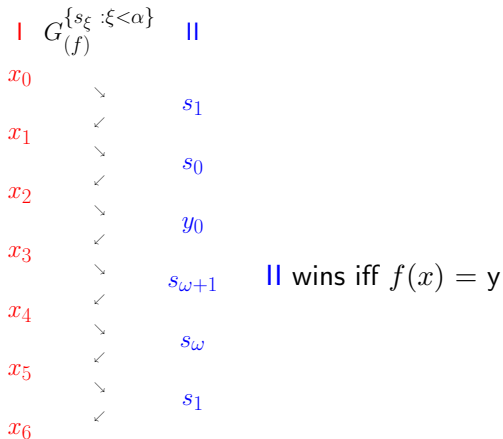
Functions as Strategies



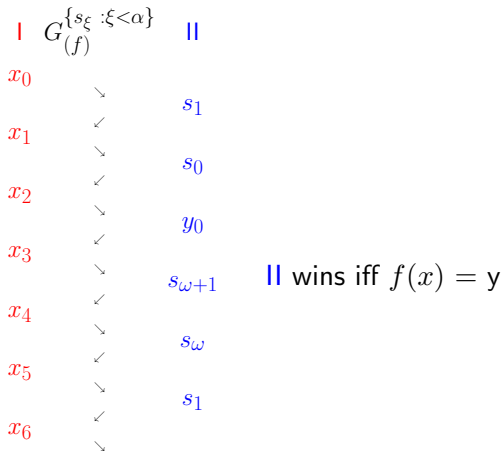
Functions as Strategies



Functions as Strategies



Functions as Strategies



Functions as Strategies

I $G_{(f)}^{\{s_\xi : \xi < \alpha\}}$ II

x_0



s_1

x_1



s_0

x_2



y_0

x_3



$s_{\omega+1}$

x_4



s_ω

x_5



s_1

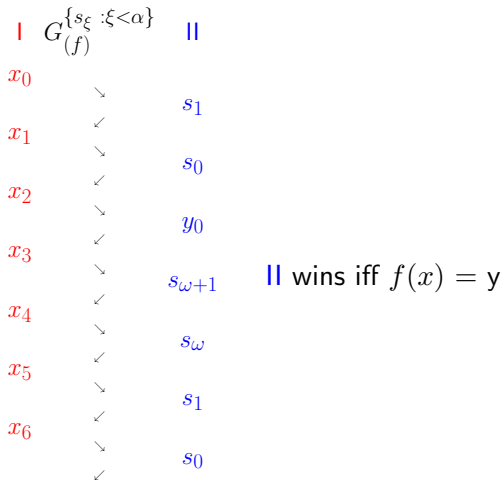
x_6



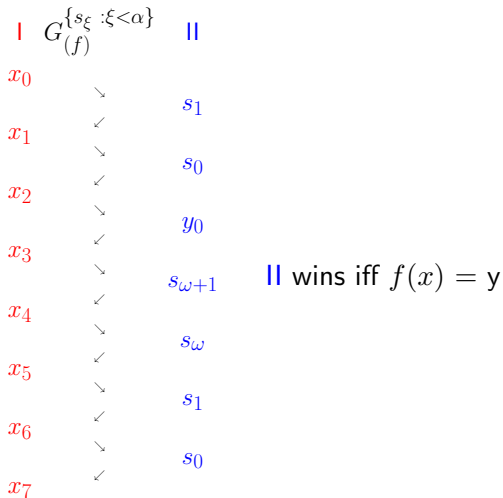
s_0

II wins iff $f(x) = y$

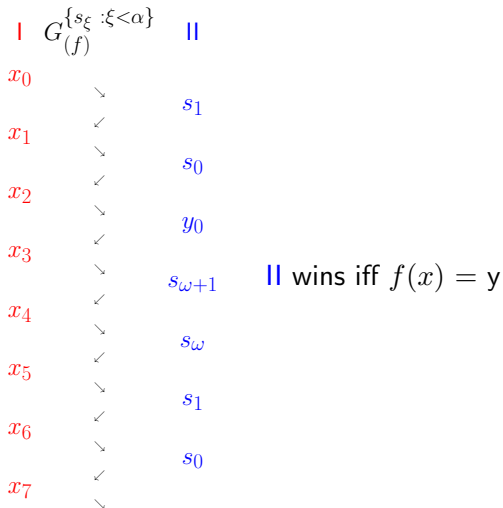
Functions as Strategies



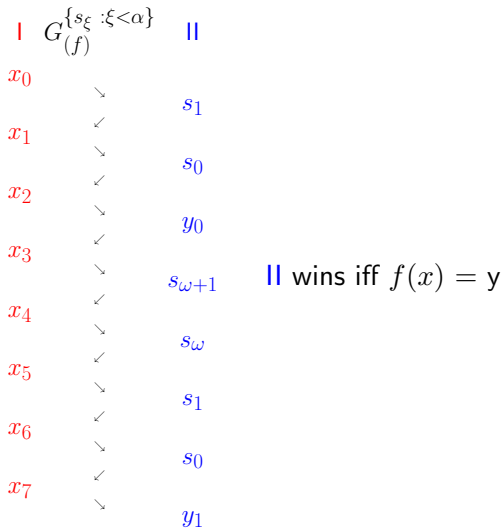
Functions as Strategies



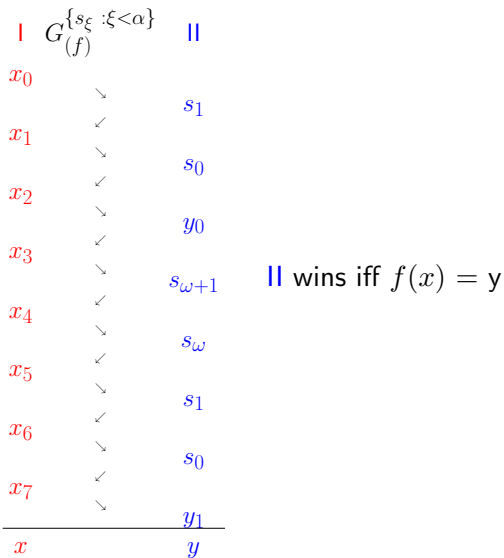
Functions as Strategies



Functions as Strategies



Functions as Strategies



α -Lipschitz

Definition

f is α -Lipschitz $\iff II$ has a w.s. in $\mathbb{G}^{\{s_\xi: \xi < \alpha\}}(f)$

Theorem

f is continuous $\iff f$ is α -Lipschitz for some $\alpha < \omega_1$.

What is the use of depicting a continuous function as a strategy?

Reduction

Definition

- $X \leq Y \iff \exists f \text{ simple } (x \in X \Leftrightarrow f(x) \in Y)$
- Y is \mathcal{C} -complete $\iff \begin{cases} Y \in \mathcal{C} \\ X \leq Y, \text{ any } X \in \mathcal{C} \end{cases}$
- X is less complicated than Y

Reduction Games

Definition

- $X \leq_w Y \iff \exists f \text{ simple } (x \in X \iff f(x) \in Y)$
 $\iff \text{II has a w.s. in } \mathbb{W}(\mathbf{X}, \mathbf{Y})$

Reduction

Definition

- $X \leq Y \iff \exists f \text{ simple } (x \in X \Leftrightarrow f(x) \in Y)$

- *simple* w.r. to topological complexity means **continuous**

Wadge Ordering

Definition

- $X \leq_w Y \iff \exists f \text{ continuous } (x \in X \iff f(x) \in Y)$
 $\iff \Pi \text{ has a w.s. in } \mathbb{W}(\mathbf{X}, \mathbf{Y})$

Wadge Ordering

Definition

- $X \leq_w Y \iff \exists f \text{ continuous } (x \in X \iff f(x) \in Y)$
 $\iff \Pi \text{ has a w.s. in } \mathbb{W}(\mathbf{X}, \mathbf{Y})$
- $Y \text{ is } \mathcal{C}\text{-complete} \iff \begin{cases} Y \in \mathcal{C} \\ X \leq_w Y, \text{ any } X \in \mathcal{C} \end{cases}$
- $\mathcal{C} \text{ is a Wadge Class} \iff \text{some } Y \in \mathcal{C} \text{ is } \mathcal{C}\text{-complete}$

- $X \leq_w Y \iff X^{\mathcal{C}} \leq_w Y^{\mathcal{C}}$

Basic Properties of the Wadge Ordering

Lemma

- \leq_w is reflexive $X \leq_w X$
- \leq_w is transitive $X \leq_w Y \leq_w Z \implies X \leq_w Z$

Basic Properties of the Wadge Ordering

Lemma

- \leq_w is reflexive $X \leq_w X$
- \leq_w is transitive $X \leq_w Y \leq_w Z \implies X \leq_w Z$
- \leq_w is a partial ordering
- with Determinacy

Definition

- $X \equiv_w Y \iff X \leq_w Y \leq_w X$
- $X <_w Y \iff X \leq_w Y \not\leq_w X$

Wadge Determinacy

Theorem (Louveau, Saint-Raymond (86))

$$\text{Borel Determinacy} \stackrel{\Rightarrow}{\neq} \text{Borel Wadge Determinacy}$$

Theorem (Harrington (78))

$$\Sigma_1^1\text{-Determinacy} \iff \Sigma_1^1\text{-Wadge Determinacy}$$

Theorem (Martin, Monk, Wadge)

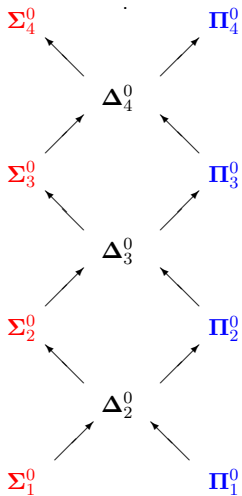
Assuming Determinacy,

- $<_W$ is wellfounded, i.e. there is no

$$X_{0_W} > X_{1_W} > X_{2_W} > \dots_W > X_{k_W} > X_{k+1_W} > \dots$$

- there are **no three** incomparable X, Y, Z
- $X \not\leq_W Y \implies Y \leq_W X^c$

Wadge Ordering



Wadge Ordering

- \leq_W yields a hierarchy on Borel sets: the Wadge hierarchy, a huge refinement of the Borel hierarchy
- $\Sigma_2^0, \Pi_2^0, \rightsquigarrow \omega_1$
- $\Sigma_3^0, \Pi_3^0, \rightsquigarrow \omega_1^{\omega_1}$
- $\Sigma_4^0, \Pi_4^0, \rightsquigarrow \omega_1^{\omega_1^{\omega_1}}$
- $\Sigma_\omega^0, \Pi_\omega^0, \rightsquigarrow \gg \sup_{n \in \mathbb{N}} \underbrace{\omega_1^{\omega_1^{\dots^{\omega_1}}}}_n$

From Wadge Games to Conciliatory Games

Conciliatory sets are of the form $B \subseteq \mathbb{N}^{\leq \omega}$

- From $B \subseteq \mathbb{N}^{\leq \omega}$, one defines the set $B^b \subseteq (\mathbb{N} \cup \{b\})^\omega$ of infinite sequences by:

$$B^b = \{x \in (\mathbb{N} \cup \{b\})^\omega \mid x_{[/b]} \in B\},$$

- We use no topology on conciliatory sets, but for any pointclass Γ , we say

$$B \subseteq \mathbb{N}^{\leq \omega} \text{ is in } \Gamma \iff B^b \in \Gamma.$$

Conciliatory Game

Given $A, B \subseteq \mathbb{N}^{\leq \omega}$. The conciliatory form of the Wadge Game:

$$C(\mathbf{A}, \mathbf{B})$$

- same as in the Wadge game (I begins, II answers, etc.)
- except I can also skip, and both players do not have to produce an infinite sequence, so that at the end of the game, they have played respectively

$$x \in \mathbb{N}^{\leq \omega} \quad \text{and} \quad y \in \mathbb{N}^{\leq \omega}$$

- II wins if and only if $(x \in A \Leftrightarrow y \in B)$
- $A \leq_c B$ if and only if II has a winning strategy in $C(A, B)$

Conciliatory Game

Given $A, B \subseteq \mathbb{N}^{\leq \omega}$.

- II has a w.s. in $C(A, B) \Leftrightarrow$ II has a w.s. in $W(A^b, B^b)$
- I has a w.s. in $C(A, A^{\mathbb{G}}) \implies$ no conciliatory selfdual set

Conciliatory Game

Theorem

With enough determinacy

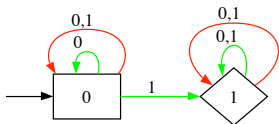
$$\begin{aligned} A \subseteq \mathbb{N}^\omega \text{ is non-selfdual} \\ \iff \\ \text{there exists } B \subseteq \mathbb{N}^{\leq \omega} \text{ such that } A \equiv_W B^b \\ \iff \\ \text{there exists } A_{finite} \subseteq \mathbb{N}^{< \omega} \text{ such that } A \equiv_W (A \cup A_{finite})^b \end{aligned}$$

- The Wadge hierarchy restricted to *non-selfdual* sets is isomorphic to the conciliatory hierarchy, via b .
- The conciliatory hierarchy and the one induced by reductions by relatively continuous relations on the space $\mathbb{N}^{\leq \omega}$ endowed with the prefix topology are the same (Yann Pequignot).
- **Open Question.** Is there a topology on $\mathbb{N}^{\leq \omega}$ such that \leq_c coincides with the reduction by continuous functions?

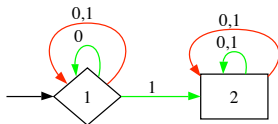
Wadge Ordering

In Automata Theory...

Π_1^0 -complete

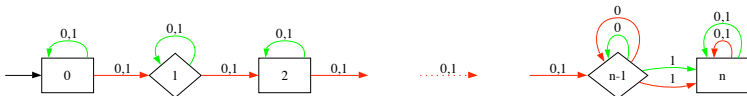


Σ_1^0 -complete

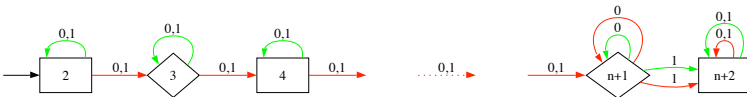


Wadge Ordering

Π_n^0 -complete

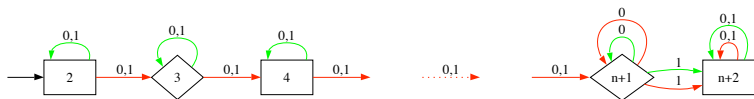


Π_n^0 -complete



Wadge Ordering

Π_n^0 -complete

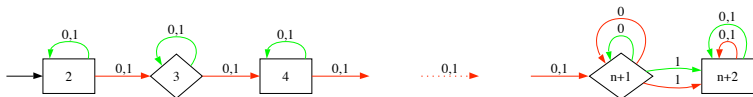


Given any $A \in \Pi_n^0$,

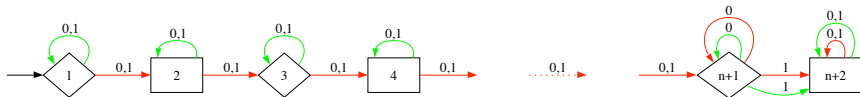
$A \leq_w \Pi_n^0$ -complete

Wadge Ordering

Π_n^0 -complete



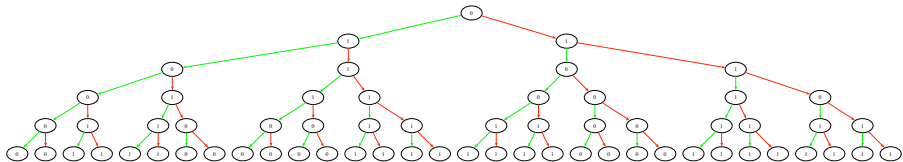
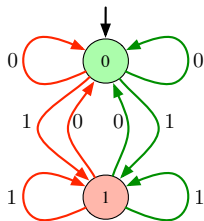
Σ_{n+1}^0 -complete



Unambiguous Tree Languages

Unambiguous Tree Languages

- Automata that read infinite (binary) trees



- *nondeterministic parity tree automaton*

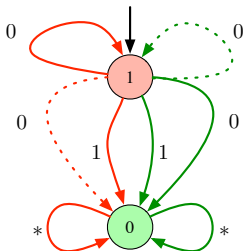
$$\mathcal{A} = \langle A, Q, I, \delta, r \rangle$$

- a finite input alphabet A ,
 - a finite set Q of states,
 - a set of initial states $I \subseteq Q$,
 - a transition relation $\delta \subseteq Q \times A \times Q \times Q$ and
 - a priority function $r : Q \rightarrow \omega$.
- *Unambiguous automaton*

nondeterministic with at most one accepting run on each input

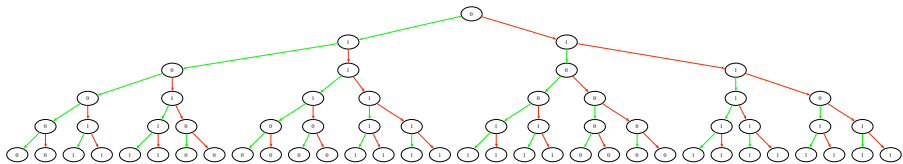
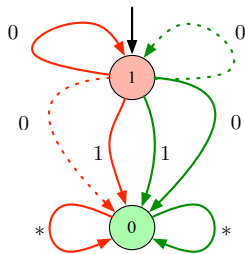
Unambiguous Tree Languages

- Acceptance or Rejection of an input relies on *solving a game*
- two players:
 - *Automaton* takes charge of *nondeterminism*
 - *Pathfinder* takes charge of *branching*
- yields Δ_2^1 languages



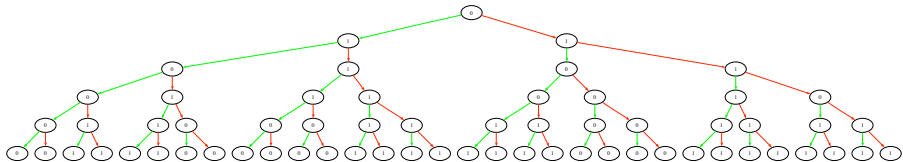
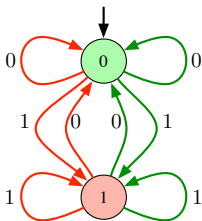
Unambiguous Tree Languages

- What is the language?



Unambiguous Tree Languages

- What is the language?



Unambiguous Tree Languages

- The space T_A (of all full binary trees on the alphabet A) equipped with the standard Cantor topology is a Polish space and is in fact homeomorphic to the Cantor space
- Let $L, M \subseteq T_A$,
 - in the Wadge game $W(L, M)$ each player builds a tree, say t_I and t_{II} .
 - At every round, player I plays first, and both players add a finite number of children to the terminal nodes of their tree.
 - Player II is allowed to skip its turn, but has to produce a tree in T_A throughout a game.
 - Player II wins the game if and only if $t_I \in L \Leftrightarrow t_{II} \in M$.
- $L \leq_W M \Leftrightarrow II$ has a winning strategy in the game $W(L, M)$

- A *conciliatory* binary tree over some alphabet A is a partial function

$$t : \{0, 1\}^* \rightarrow A$$

with a prefix closed domain. Those trees can have both infinite and finite branches.

- For conciliatory languages L, M we define the *conciliatory* version of the Wadge game: $C(L, M)$
 - rules are similar, except that both player are allowed to skip and to produce trees with finite branches - or even finite trees.
 - $L \leq_c M$ if and only if II has a winning strategy in the game $C(L, M)$.
 - $L \equiv_c M$ if and only if $L \leq_c M$ and $M \leq_c L$
 - The conciliatory hierarchy is thus the partial order induced by \leq_c on the equivalence classes given by \equiv_c .

Unambiguous Tree Languages

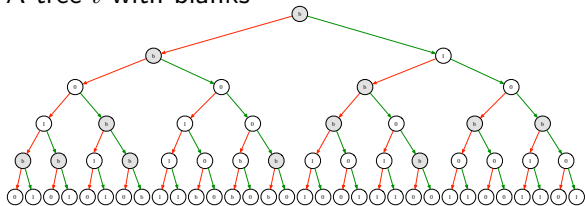
- From a conciliatory language L over A , one defines the corresponding language L^b of full trees over $A \cup \{b\}$ by:

$$L^b = \{t \in T_{A \cup \{b\}} : t_{[/b]} \in L\},$$

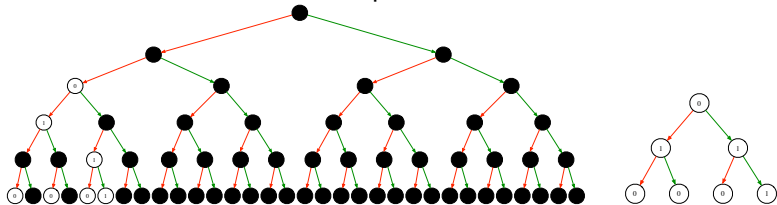
where b is an extra symbol that stands for “blank”, and $t_{[/b]}$, the *undressing* of t , is informally the conciliatory tree over A obtained once all the occurrences of b have been removed in a top-down manner.

Unambiguous Tree Languages

- A tree t with blanks



- The blanks are deleted in a top-down manner

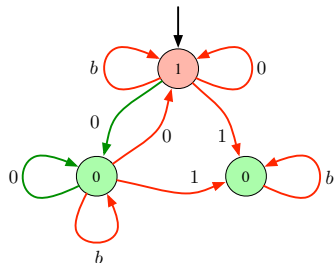
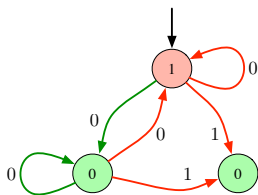


Unambiguous Tree Languages

Lemma

Let L and M be conciliatory languages. Then

$$L \leq_c M \text{ if and only if } L^b \leq_W M^b.$$



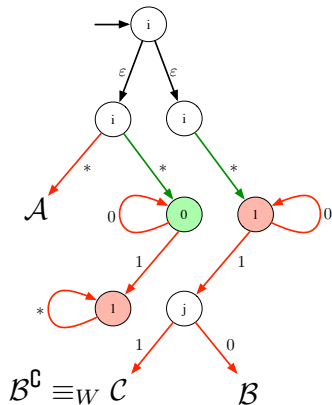
Operations on languages and their automatic counterparts

Unambiguous Tree Languages

- The sum (+)

Assuming enough determinacy:

$$d_c(\mathcal{B} + \mathcal{A}) = d_c(\mathcal{B}) + d_c(\mathcal{A}).$$



- The multiplication (\bullet)

$$\mathcal{A} \bullet n = \underbrace{\mathcal{A} + \mathcal{A} + \dots + \mathcal{A}}_n$$

Assuming enough determinacy:

$$d_c(\mathcal{A} \bullet n) = d_c(\mathcal{A}) \cdot n.$$

Lemma

If $\mathcal{A} <_c \mathcal{A}'$ and $\mathcal{B} \leq_c \mathcal{B}'$. Then, the following holds.

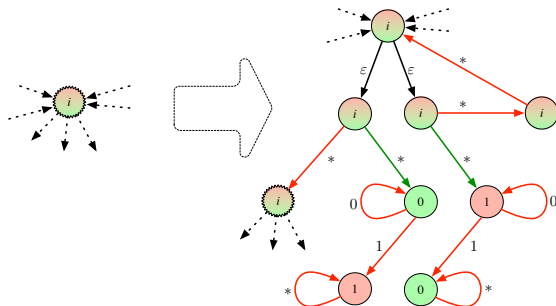
① $\mathcal{A} + \mathcal{A} <_c \mathcal{B}' + \mathcal{A}'$

② $\mathcal{A} <_c \mathcal{A} + \mathcal{B}$.

In particular $\mathcal{A} \bullet n <_c \mathcal{A} \bullet (n + 1)$ for any $0 < n < \omega$.

Unambiguous Tree Languages

- The pseudo-exponentiation ($\omega^{\mathcal{A}}$)

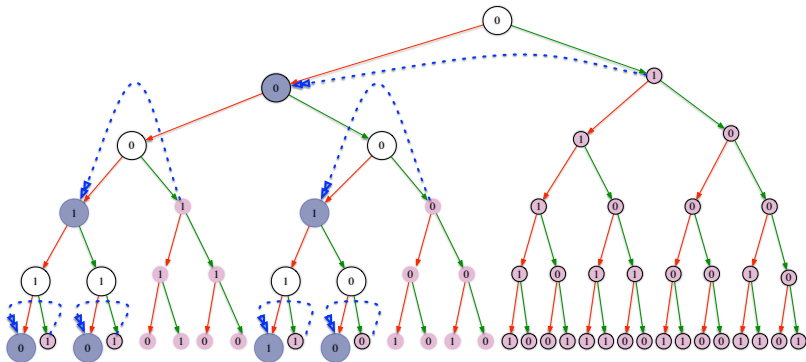


Assuming enough determinacy:

$$d_c(\omega^{\mathcal{A}}) = \omega_1^{d_c(\mathcal{A})} + (1,0,-1)$$

Unambiguous Tree Languages

- The pseudo-exponentiation (ω^A)



Unambiguous Tree Languages

Proposition

- 1 $\omega(\mathcal{A}^c) \equiv_c (\omega\mathcal{A})^c$.
- 2 If $\mathcal{A} \leq_c \mathcal{B}$, then $\omega\mathcal{A} \leq_c \omega\mathcal{B}$.
- 3 If $\mathcal{A} <_c \mathcal{B}$, then $\omega\mathcal{A} <_c \omega\mathcal{B}$.
- 4 Assuming enough determinacy,

$$d_c(\omega\mathcal{A}) = \omega_1^{d_c(\mathcal{A}) + \varepsilon},$$

where

$$\varepsilon = \begin{cases} -1 & \text{if } d_c(\mathcal{A}) < \omega; \\ 0 & \text{if } d_c(\mathcal{A}) = \beta + n \text{ and } \text{cof}(\beta) = \omega_1; \\ 1 & \text{if } d_c(\mathcal{A}) = \beta + n \text{ and } \text{cof}(\beta) = \omega. \end{cases}$$

Unambiguous Tree Languages

Proposition

If $A, B <_c \omega^C$, then



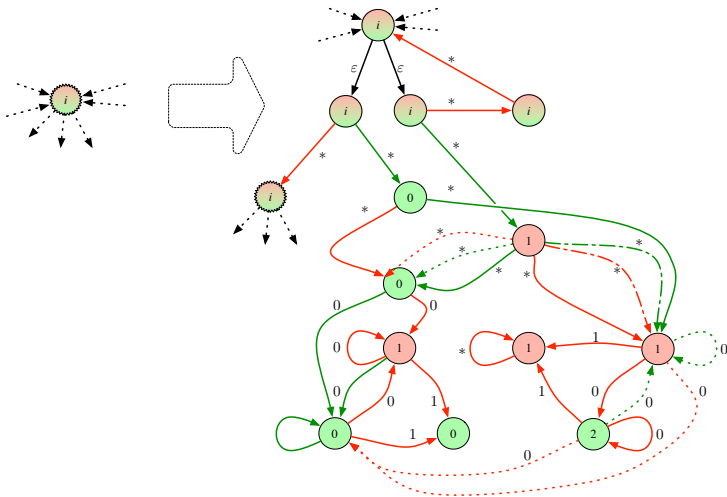
$$A+B <_c \omega^C$$

- for any integer n

$$A \bullet n <_c \omega^C$$

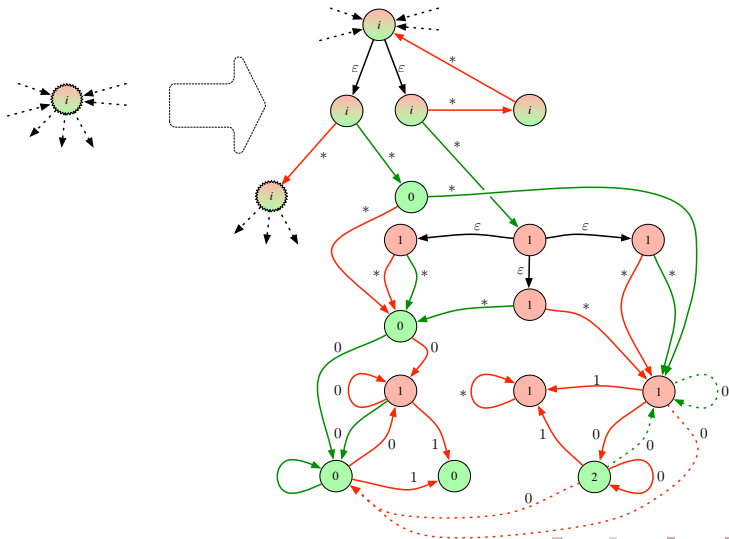
Unambiguous Tree Languages

- The pseudo-epsilon ($\epsilon_{\mathcal{A}}$)



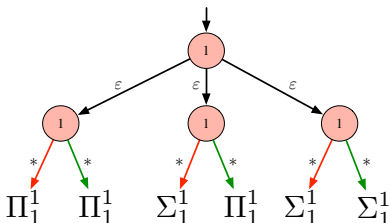
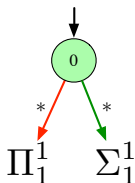
Unambiguous Tree Languages

- The pseudo-epsilon ($\epsilon_{\mathcal{A}}$)



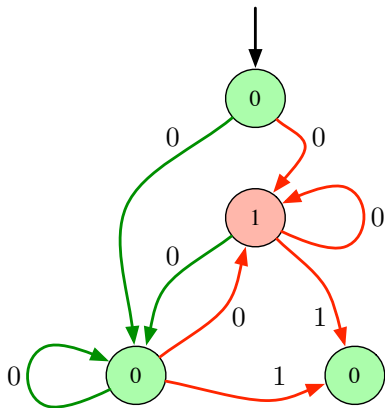
Unambiguous Tree Languages

- Auxiliary conditions for $\epsilon_{\mathcal{A}}$



Unambiguous Tree Languages

- An unambiguous (deterministic) Π_1^1 -complete

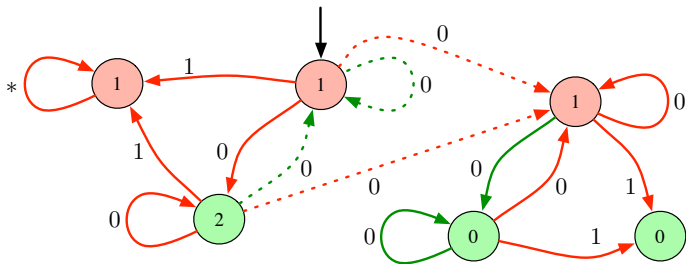


$\mathcal{A}_{\Pi_1^1}$

Unambiguous Tree Languages

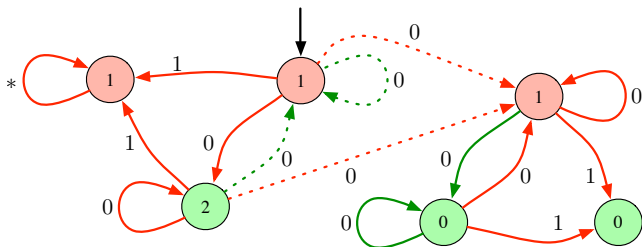
Lemma (Szczepan Hummel)

there exists some unambiguous Σ_1^1 -complete tree automata



Unambiguous Tree Languages

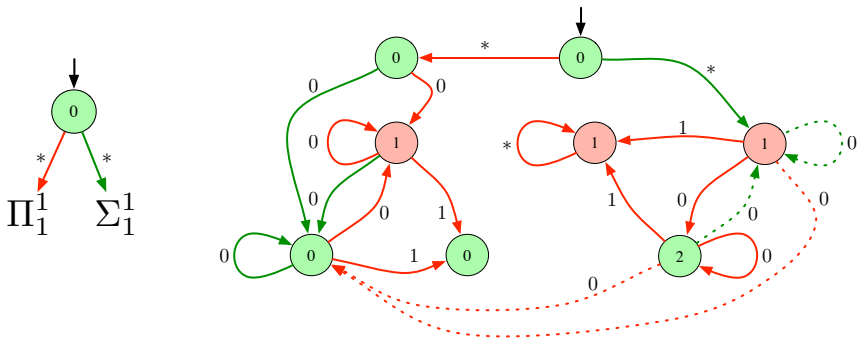
- *there exists a branch with 0's only that turns left infinitely many times*
- *look for the left most one!*



$$\mathcal{A}_{\Sigma_1^1}$$

Unambiguous Tree Languages

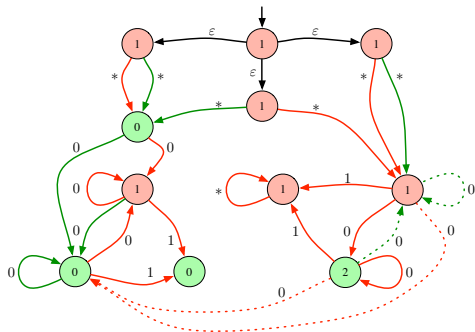
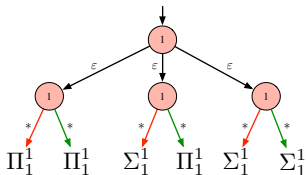
- *there exists a branch with 0's only that turns left infinitely many times*
- *look for the left most one!*



$$A_{D_2}(\Pi_1^1)$$

Unambiguous Tree Languages

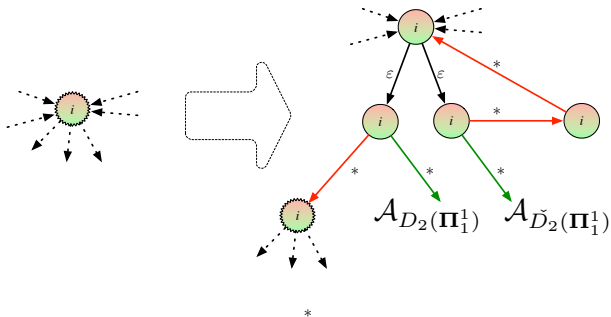
- *there exists a branch with 0's only that turns left infinitely many times*
- *look for the left most one!*



$$\mathcal{A}_{\check{D}_2}(\Pi_1^1)$$

Unambiguous Tree Languages

- The pseudo-epsilon ($\epsilon_{\mathcal{A}}$)



Unambiguous Tree Languages

The pseudo-epsilon ($\epsilon_{\mathcal{A}}$)

Theorem

If $\mathcal{A} \leq_c \mathcal{B}$, then

1

$$(\epsilon_{\mathcal{A}})^{\mathbb{G}} \equiv_c \epsilon_{(\mathcal{A}^{\mathbb{G}})}$$

2

$$\epsilon_{\mathcal{A}} \leq_c \epsilon_{\mathcal{B}}$$

If $\mathcal{A} <_c \mathcal{B}$, then

3

$$\epsilon_{\mathcal{A}} <_c \epsilon_{\mathcal{B}}$$

4

$$\omega^{(\epsilon_{\mathcal{A}})} \equiv_c \epsilon_{\mathcal{A}}$$

A fragment of the unambiguous Wadge hierarchy

Let us denote by Ω the first fixed point of the ordinal epsilon function, namely the one that enumerates the fixed points of the exponentiation of base ω :

$$\bullet \varepsilon_0 = \sup_{n < \omega} \underbrace{\omega^{\dots^{\omega^0}}}_n ;$$

$$\bullet \varepsilon_{\alpha+1} = \sup_{n < \omega} \underbrace{\omega^{\dots^{\omega^{(\varepsilon_\alpha+1)}}}}_n ;$$

$$\bullet \varepsilon_\lambda = \sup_{\alpha < \lambda} \varepsilon_\alpha, \text{ for } \lambda \text{ some limit ordinal.}$$

Finally:

$$\Omega = \sup_{n < \omega} \varepsilon \underbrace{\dots^{\varepsilon_0}}_n .$$

A fragment of the unambiguous Wadge hierarchy

Another way to characterise Ω is to remember that an ordinal β is the set of its predecessors and notice that a non zero ordinal is of the form respectively

- $\beta = \omega^\alpha \Leftrightarrow \beta$ is closed under addition and
- $\beta = \varepsilon_\alpha \Leftrightarrow \beta$ is closed under $x \mapsto \omega^x$.
- Ω is the first non null ordinal closed under
 - $x, y \mapsto x + y$.
 - $x \mapsto \omega^x$
 - $x \mapsto \varepsilon_x$

Finally:

$$\Omega = \sup_{n < \omega} \underbrace{\varepsilon_n}_{\varepsilon_0} .$$

A fragment of the unambiguous Wadge hierarchy

Every ordinal $\alpha > 0$ admits a unique Cantor Normal Form of base ω (CNF) which is an expression of the form

$$\alpha = \omega^{\alpha_k} \cdot n_k + \dots + \omega^{\alpha_0} \cdot n_0$$

where

- $k < \omega$
- $0 < n_i < \omega$ (any $i \leq k$)
- $0 \leq \alpha_0 < \dots < \alpha_k < \alpha$.

A fragment of the unambiguous Wadge hierarchy

For every ordinal $0 < \alpha < \Omega$, we inductively define a pair of unambiguous automata $(\mathcal{A}_\alpha, \bar{\mathcal{A}}_\alpha)$ whose languages are both non-selfdual and incomparable through the conciliatory ordering. If the CNF of α is $\alpha = \omega^{\alpha_k} \cdot n_k + \dots + \omega^{\alpha_0} \cdot n_0$ we set

$$\mathcal{A}_\alpha = \mathcal{A}_{\omega^{\alpha_k} \bullet n_k} + \dots + \mathcal{A}_{\omega^{\alpha_0} \bullet n_0}$$

and

$$\bar{\mathcal{A}}_\alpha = \mathcal{A}_{\omega^{\alpha_k} \bullet n_k} + \dots + \bar{\mathcal{A}}_{\omega^{\alpha_0} \bullet n_0},$$

where $\mathcal{A}_{\omega^{\alpha_i}}$ and $\bar{\mathcal{A}}_{\omega^{\alpha_i}}$ are respectively:

- \ominus and \oplus if $\alpha_i = 0$
- $\omega^{\mathcal{A}_{\alpha_i}}$ and $\omega^{\bar{\mathcal{A}}_{\alpha_i}}$ if $\alpha_i < \omega^{\alpha_i}$
- $\varepsilon_{\mathcal{A}_{2+\beta}}$ and $\varepsilon_{\bar{\mathcal{A}}_{2+\beta}}$ if $\alpha_i = \omega^{\alpha_i}$ and $\alpha_i = \varepsilon_\beta$ for some $\beta < \alpha_i$.

A fragment of the unambiguous Wadge hierarchy

Lemma

Let $0 < \alpha < \beta < \Omega$,

① $\mathcal{A}_\alpha \not\leq_c \bar{\mathcal{A}}_\alpha$ and $\bar{\mathcal{A}}_\alpha \not\leq_c \mathcal{A}_\alpha$.

② ① $\mathcal{A}_\alpha <_c \mathcal{A}_\beta$

② $\bar{\mathcal{A}}_\alpha <_c \mathcal{A}_\beta$

③ $\mathcal{A}_\alpha <_c \bar{\mathcal{A}}_\beta$

④ $\bar{\mathcal{A}}_\alpha <_c \bar{\mathcal{A}}_\beta$.

A fragment of the unambiguous Wadge hierarchy

Theorem

There exists a family $(A_\alpha^b)_{\alpha < \Omega}$ of unambiguous parity tree automata such that

- 1 they recognize languages of full trees over the alphabet $\{0, 1, b\}$;
- 2 $\alpha < \beta \Leftrightarrow A_\alpha^b <_W A_\beta^b$.
- 3 their priorities are restricted to $\{0, 1, 2\}$

Wadge hierarchy of all deterministic tree languages [Filip Murlak]:

$$(\omega^\omega)^3 + 3$$

$$\Omega = \sup_{n < \omega} \underbrace{\varepsilon_n}_{\dots \varepsilon_0}$$